

10/089173

27 MAR 2002

## TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 50763DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP00/09626	10 February 2000	2 October 1999

TITLE OF INVENTION: SOLID COMPOSITION CONTAINING BONDS WHICH CAN BE ACTIVATED WITH ACTINIC RADIATION, AND ITS USE

APPLICANT(S) FOR DO/EO/US Rainer BLUM, Reinhold SCHWALM, Horst Hintze-BRUENING, Horst BINDER, Wolfgang PAULUS, Bernd BRUCHMANN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. /X/ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
  2. / / This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
  3. /X/ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
  4. /x / A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. /X/ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. /X/ is transmitted herewith (required only if not transmitted by the International Bureau)
    - b. / / has been transmitted by the International Bureau.
    - c. / / is not required, as the application was filed in the United States Receiving Office (RO/USO).
  6. /X/ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. / / Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
    - a. / / are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. / / have been transmitted by the International Bureau.
    - c. / / have not been made; however, the time limit for making such amendments has NOT expired.
    - d. / / have not been made and will not be made.
  8. / / A translation of the amendments to the claims under PCT Article 19(35 U.S.C. 371(c)(3)).
  9. / X / An oath or declaration of the inventor(s)(35 U.S.C. 171(c)(4)).
  10. / / A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. / X / An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. / x / An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. / x / A FIRST preliminary amendment.  
/ / A SECOND or SUBSEQUENT preliminary amendment.
  14. / / A substitute specification.
  15. / / A change of power of attorney and/or address letter.
  16. /x / Other items or information  
International Search Report  
International Preliminary Examination Report

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27 MAR 2002

U.S. Appl. No. (If Known) INTERNATIONAL APPLN. NO.  
PCT/EP00/09626ATTORNEY'S DOCKET NO.  
50763

		CALCULATIONS	PTO USE ONLY
17. /X/ The following fees are submitted			
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):			
Search Report has been prepared by the			
EPO or JPO.....	\$890.00	890.00	
International preliminary examination fee paid to USPTO			
(37 CFR 1.482).....	\$710.00		
No international preliminary examination fee paid to			
USPTO (37 CFR 1.482) but international search fee paid			
to USPTO (37 CFR 1.445(a)(2)) .....\$740.00			
Neither international preliminary examination fee			
(37 CFR 1.482) nor international search fee			
(37 CFR 1.445(a)(2)) paid to USPTO .....\$ 1,040.00			
International preliminary examination fee paid to			
USPTO (37 CFR 1.482) and all claims satisfied pro			
-visions of PCT Article 33(2)-(4) .....\$100.00			
ENTER APPROPRIATE BASIC FEE AMOUNT = \$		890.00	
Surcharge of \$130.00 for furnishing the oath or declaration			
later than / / 20 / / 30 months from the earliest			
claimed priority date (37 CFR 1.492(e)).			
Claims	Number Filed	Number Extra	Rate
Total Claims	34 -20	14	X\$18. 252.00
Indep. Claims	1 -3		X\$84.
Multiple dependent claim(s)(if applicable)			+280
TOTAL OF ABOVE CALCULATION		=	1,142.00
Reduction of 1/2 for filing by small entity, if applicable.			
Verified Small Entity statement must also be filed			
(Note 37 CFR 1.9, 1.27, 1.28).			
SUBTOTAL		=	1,142.00
Processing fee of \$130 for furnishing the English			
translation later than / / 20 / / 30 months from the			
earliest claimed priority date (37 CFR 1.492(f)). +			
TOTAL NATIONAL FEE		=	1,142.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)).			
The assignment must be accompanied by an appropriate cover			
sheet (37 CFR 3.28, 3.31) \$40.00 per property = 40.			
TOTAL FEES ENCLOSED		=	\$ 1,182.00
Amount to be			
refunded.		\$	
Charged		\$	

a./X/ A check in the amount of \$ 1,182.00 to cover the above fees is enclosed

b./ / Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed

c./X/ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0345 A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
KEIL & WEINKAUF1101 Connecticut Ave., N.W.  
Washington, D.C. 20036

SIGNATURE

Herbert B. Keil

NAME

Registration No. 18,967



26474

PATENT &amp; TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of )  
BLUM et al. ) BOX PCT  
)  
International Application )  
PCT/EP 00/09626 )  
)  
Filed: February 10, 2000 )  
)

For: SOLID COMPOSITION CONTAINING BONDS WHICH CAN BE ACTIVATED  
WITH ACTINIC RADIATION, AND ITS USE

PRELIMINARY AMENDMENT

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Prior to examination, kindly amend the above-identified application as follows:

IN THE CLAIMS

Kindly amend the claims as shown on the attached sheets.

R E M A R K S

The claims have been amended to eliminate multiple dependency and to place them in better form for U.S. filing. No new matter is included.

A clean copy of the claims is attached.

Favorable action is solicited.

Respectfully submitted,

KEIL & WEINKAUF



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CLEAN VERSION OF AMENDED CLAIMS - BASF/NAE 1032/99

3. The solid composition as claimed in claim 1, characterized in that the bonds which can be activated with actinic radiation comprise carbon-hydrogen single bonds or carbon-carbon, carbon-oxygen, carbon -nitrogen, carbon-phosphorus or carbon-silicon single bonds or double bonds, especially carbon-carbon double bonds.
4. The solid composition as claimed in claim 1, characterized in that the groups (a) are selected from the group containing (meth)acrylate, ethacrylate, crotonate, cinnamate, vinyl ether, vinyl ester, dicyclopentadienyl, norbornenyl, isoprenyl, isopropenyl, allyl and butenyl groups; dicyclopentadienyl ether, norbornenyl ether, isoprenyl ether, isopropenyl ether, allyl ether or butenyl ether groups, and dicyclopentadienyl ester, norbornenyl ester, isoprenyl ester, isopropenyl ester, allyl ester and butenyl ester groups.
6. The solid composition as claimed in claim 1, characterized in that, based on its overall weight, it contains from 5.0 to 95% by weight of the (meth)acrylate copolymer (A) and from 95 to 5.0% by weight of the compound (B).
7. The solid composition as claimed in claim 1, characterized in that the groups (a) in the compound (B) are attached to the parent structure by way of urethane, ester, ether and/or amide groups.
9. The solid composition as claimed in claim 1, characterized in that the compound (B) is amorphous, partly crystalline, or crystalline.
10. The solid composition as claimed in claim 1, characterized in that the compound (B) has a melting range or melting point in the temperature range from 40 to



CLEAN VERSION OF AMENDED CLAIMS - BASF/NAE 1032/99

130°C.

11. The solid composition as claimed in claim 1, characterized in that the compound (B) has a melt viscosity at 130°C of from 50 to 20 000 mPas.
12. The solid composition as claimed in claim 1, characterized in that the parent structure of the compound (B) is of low molecular mass, oligomeric and/or polymeric.
14. The solid composition as claimed in claim 12, characterized in that the oligomeric and/or polymeric parent structure is derived from random, alternating and/or block, linear, branched, hyperbranched, dendrimeric and/or comb polyaddition resins, polycondensation resins and/or addition (co)polymers of ethylenically unsaturated monomers.
16. The solid composition as claimed in claim 1, characterized in that the methacrylate copolymer (A) and/or the compound (B) comprise at least one chemically bonded stabilizer (e).
19. The solid composition as claimed in claim 16, characterized in that the chemically bonded stabilizer (e) is present in the solid compound (B).
20. The solid composition as claimed in claim 1, characterized in that the compound (B) contains reactive functional groups (c) which are able to undergo thermal crosslinking reactions with groups (c) of its own kind and/or with complementary functional groups (b) which are also present in the methacrylate copolymer (A) and/or in at least one crosslinking agent.
21. The solid composition as claimed in claim 1, characterized in that the

CLEAN VERSION OF AMENDED CLAIMS - BASF/NAE 1032/99

methacrylate copolymer (A) and/or the compound (B) comprises chemically bonded photoinitiators and/or photoco-initiators.

22. The use of the solid composition as claimed in claim 1 as coating materials, adhesives or sealing compounds which can be crosslinked thermally and/or with actinic radiation, or to produce coating materials, adhesives or sealing compounds which can be crosslinked thermally and/or with actinic radiation.
23. Coating materials, adhesives or sealing compounds comprising at least solid compositions as claimed in claim 1.
27. The coating materials, adhesives or sealing compounds as claimed in claim 23, characterized in that at least one further additive is present therein.
28. The coating materials, adhesives or sealing compounds as claimed in claim 23, characterized in that they are present as powders, powder slurries, or a solution or dispersion in organic solvents.
29. The use of the coating materials, adhesives or sealing compounds as claimed in claim 23 to produce coatings, adhesive films or seals for primed or unprimed substrates.
30. A process for producing coatings, adhesive films or seals for primed or unprimed substrates, wherein
  - (1) at least one coating material and/or adhesive and/or at least one sealing compound as claimed in claim 23 in the form of
    - (1.1) a melt,

CLEAN VERSION OF AMENDED CLAIMS - BASF/NAE 1032/99

- (1.2) a powder,
  - (1.3) a powder slurry or
  - (1.4) a dispersion or a solution in at least one organic solvent
- is applied to the primed or unprimed substrate,
- (2) the resulting powder slurry film (1.3) or the resulting film of a dispersion or a solution (1.4) is dried or the resulting film of the melt (1.1) is caused to solidify or is maintained in the melted state by heating,
  - (3) the resulting solid film (1.2), (1.3) or (1.4) is melted by heating, and
  - (4) the melted film which results in process step (2) or (3),
    - (4.1) in the melted state,
    - (4.2) on solidification and/or
    - (4.3) after solidification,
- is cured with actinic radiation.

- 32. The process as claimed in claim 30, characterized in that heating is carried out with near infrared (NIR) light.
- 33. Coatings, adhesive films or seals on primed or unprimed substrates, by the process as claimed in claim 30.

MARKED VERSION OF AMENDED CLAIMS - BASF/NAE 1032/99

3. The solid composition as claimed in claim 1 [or 2], characterized in that the bonds which can be activated with actinic radiation comprise carbon-hydrogen single bonds or carbon-carbon, carbon-oxygen, carbon -nitrogen, carbon-phosphorus or carbon-silicon single bonds or double bonds, especially carbon-carbon double bonds.
4. The solid composition as claimed in claim 1 [any of claims 1 to 3], characterized in that the groups (a) are selected from the group containing (meth)acrylate, ethacrylate, crotonate, cinnamate, vinyl ether, vinyl ester, dicyclopentadienyl, norbornenyl, isoprenyl, isopropenyl, allyl and butenyl groups; dicyclopentadienyl ether, norbornenyl ether, isoprenyl ether, isopropenyl ether, allyl ether or butenyl ether groups, and dicyclopentadienyl ester, norbornenyl ester, isoprenyl ester, isopropenyl ester, allyl ester and butenyl ester groups.
6. The solid composition as claimed in claim 1 [any of claims 1 to 5], characterized in that, based on its overall weight, it contains from 5.0 to 95% by weight of the (meth)acrylate copolymer (A) and from 95 to 5.0% by weight of the compound (B).
7. The solid composition as claimed in claim 1 [any of claims 1 to 6], characterized in that the groups (a) in the compound (B) are attached to the parent structure by way of urethane, ester, ether and/or amide groups.
9. The solid composition as claimed in claim 1 [any of claims 1 to 8], characterized in that the compound (B) is amorphous, partly crystalline, or crystalline.
10. The solid composition as claimed in claim 1 [any of claims 1 to 9], characterized

MARKED VERSION OF AMENDED CLAIMS - BASF/NAE 1032/99

in that the compound (B) has a melting range or melting point in the temperature range from 40 to 130°C.

11. The solid composition as claimed in claim 1 [any of claims 1 to 10], characterized in that the compound (B) has a melt viscosity at 130°C of from 50 to 20 000 mPas.
12. The solid composition as claimed in claim 1 [any of claims 1 to 11], characterized in that the parent structure of the compound (B) is of low molecular mass, oligomeric and/or polymeric.
14. The solid composition as claimed in claim 12 [or 13], characterized in that the oligomeric and/or polymeric parent structure is derived from random, alternating and/or block, linear, branched, hyperbranched, dendrimeric and/or comb polyaddition resins, polycondensation resins and/or addition (co)polymers of ethylenically unsaturated monomers.
16. The solid composition as claimed in claim 1 [any of claims 1 to 15], characterized in that the methacrylate copolymer (A) and/or the compound (B) comprise at least one chemically bonded stabilizer (e).
19. The solid composition as claimed in claim 16 [any of claims 16 to 18], characterized in that the chemically bonded stabilizer (e) is present in the solid compound (B).
20. The solid composition as claimed in claim 1 [any of claims 1 to 19], characterized in that the compound (B) contains reactive functional groups (c) which are able to undergo thermal crosslinking reactions with groups (c) of its own kind and/or

MARKED VERSION OF AMENDED CLAIMS - BASF/NAE 1032/99

with complementary functional groups (b) which are also present in the methacrylate copolymer (A) and/or in at least one crosslinking agent.

21. The solid composition as claimed in claim 1 [any of claims 1 to 20], characterized in that the methacrylate copolymer (A) and/or the compound (B) comprises chemically bonded photoinitiators and/or photoco-initiators.
22. The use of the solid composition as claimed in claim 1 [any of claims 1 to 21] as coating materials, adhesives or sealing compounds which can be crosslinked thermally and/or with actinic radiation, or to produce coating materials, adhesives or sealing compounds which can be crosslinked thermally and/or with actinic radiation.
23. Coating materials, adhesives or sealing compounds comprising at least solid compositions as claimed in claim 1 [any of claims 1 to 21].
27. The coating materials, adhesives or sealing compounds as claimed in claim 23 [any of claims 23 to 26], characterized in that at least one further additive is present therein.
28. The coating materials, adhesives or sealing compounds as claimed in claim 23 [any of claims 23 to 27], characterized in that they are present as powders, powder slurries, or a solution or dispersion in organic solvents.
29. The use of the coating materials, adhesives or sealing compounds as claimed in claim 23 [any of claims 23 to 28] to produce coatings, adhesive films or seals for primed or unprimed substrates.
30. A process for producing coatings, adhesive films or seals for primed or unprimed

MARKED VERSION OF AMENDED CLAIMS - BASF/NAE 1032/99

substrates, wherein

(1) at least one coating material and/or adhesive and/or at least one sealing compound as claimed in claim 23 [any of claims 23 to 28] in the form

of

(1.1) a melt,

(1.2) a powder,

(1.3) a powder slurry or

(1.4) a dispersion or a solution in at least one organic solvent

is applied to the primed or unprimed substrate,

(2) the resulting powder slurry film (1.3) or the resulting film of a dispersion or a solution (1.4) is dried or the resulting film of the melt (1.1) is caused to solidify or is maintained in the melted state by heating,

(3) the resulting solid film (1.2), (1.3) or (1.4) is melted by heating, and

(4) the melted film which results in process step (2) or (3),

(4.1) in the melted state,

(4.2) on solidification and/or

(4.3) after solidification,

is cured with actinic radiation.

32. The process as claimed in claim 30 [or 31], characterized in that heating is carried out with near infrared (NIR) light.

33. Coatings, adhesive films or seals on primed or unprimed substrates, produceable from coating materials, adhesives or sealing compounds as

MARKED VERSION OF AMENDED CLAIMS - BASF/NAE 1032/99

claimed in any of claims 23 to 23 and/or produceable by means of the process  
as claimed in any of claims 30 to 32.



CLAIMS AS FILED - BASF/NAE 1032/99

1. A solid composition comprising
  - (A) at least one solid (meth)acrylate copolymer with a number-average molecular weight of from 850 to 10 000 and a molecular weight polydispersity  $M_w/M_n$  of from 1.0 to 5.0, containing at least one group (a) having at least one bond which can be activated with actinic radiation;  
and
  - (B) at least one solid compound comprising a parent structure and, attached thereto, on average per molecule more than one group (a) having at least one bond which can be activated with actinic radiation.
2. The solid composition as claimed in claim 1, characterized in that the (meth)acrylate copolymer
  - (A) is preparable by
    - (1) radical high-temperature polymerization of
      - (m1) at least one methacrylate and
      - (m2) at least one monomer copolymerizable therewith, with
      - (m3) from 5.0 to 50 mol% of the total amount of monomers (m1) and (m2) being monomers which carry nonpolymerizable reactive functional groups (b); and
    - (2) polymer-analogous reaction of the resulting methacrylate copolymer, which carries at least one reactive functional group (b), with
      - (m4) at least one compound containing at least one bond which can be activated with actinic radiation and at least one reactive functional group (c) which is complementary to the group (b),

CLAIMS AS FILED - BASF/NAE 1032/99

to give the group (a).

3. The solid composition as claimed in claim 1, characterized in that the bonds which can be activated with actinic radiation comprise carbon-hydrogen single bonds or carbon-carbon, carbon-oxygen, carbon -nitrogen, carbon-phosphorus or carbon-silicon single bonds or double bonds, especially carbon-carbon double bonds.
4. The solid composition as claimed in claim 1, characterized in that the groups (a) are selected from the group containing (meth)acrylate, ethacrylate, crotonate, cinnamate, vinyl ether, vinyl ester, dicyclopentadienyl, norbornenyl, isoprenyl, isopropenyl, allyl and butenyl groups; dicyclopentadienyl ether, norbornenyl ether, isoprenyl ether, isopropenyl ether, allyl ether or butenyl ether groups, and dicyclopentadienyl ester, norbornenyl ester, isoprenyl ester, isopropenyl ester, allyl ester and butenyl ester groups.
5. The solid composition as claimed in claim 4, characterized in that the groups (a) are (meth)acrylate groups, especially acrylate groups.
6. The solid composition as claimed in claim 1, characterized in that, based on its overall weight, it contains from 5.0 to 95% by weight of the (meth)acrylate copolymer (A) and from 95 to 5.0% by weight of the compound (B).
7. The solid composition as claimed in claim 1, characterized in that the groups (a) in the compound (B) are attached to the parent structure by way of urethane, ester, ether and/or amide groups.
8. The solid composition as claimed in claim 7, characterized in that the groups (a) in the compound (B) are attached to the parent structure by way of urethane

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groups.

9. The solid composition as claimed in claim 1, characterized in that the compound (B) is amorphous, partly crystalline, or crystalline.
10. The solid composition as claimed in claim 1, characterized in that the compound (B) has a melting range or melting point in the temperature range from 40 to 130°C.
11. The solid composition as claimed in claim 1, characterized in that the compound (B) has a melt viscosity at 130°C of from 50 to 20 000 mPas.
12. The solid composition as claimed in claim 1, characterized in that the parent structure of the compound (B) is of low molecular mass, oligomeric and/or polymeric.
13. The solid composition as claimed in claim 12, characterized in that the oligomeric and/or polymeric parent structure of the compound (B) contains olefinically unsaturated double bonds.
14. The solid composition as claimed in claim 12, characterized in that the oligomeric and/or polymeric parent structure is derived from random, alternating and/or block, linear, branched, hyperbranched, dendrimeric and/or comb polyaddition resins, polycondensation resins and/or addition (co)polymers of ethylenically unsaturated monomers.
15. The solid composition as claimed in claim 14, characterized in that the addition (co)polymers are poly(meth)acrylates and/or partially hydrolyzed polyvinyl esters and the polyaddition resins and/or polycondensation resins are polyesters, alkyds, polyurethanes, polyester-polyurethanes, polylactones, polycarbonates,

CLAIMS AS FILED - BASF/NAE 1032/99

polyethers, polyether-polyesters, epoxy resinamine adducts, polyureas, polyamides or polyimides, especially polyesters, polyester-polyethers, polyurethanes, and polyester-polyurethanes.

16. The solid composition as claimed in claim 1, characterized in that the methacrylate copolymer (A) and/or the compound (B) comprise at least one chemically bonded stabilizer (e).
17. The solid composition as claimed in claim 16, characterized in that a HALS compound is used as chemically bonded stabilizer (e).
18. The solid composition as claimed in claim 17, characterized in that the 2,2,6,6-tetramethyl-piperidine-N-oxide-4-oxy groups is used as chemically bonded HALS compound (e).
19. The solid composition as claimed in claim 16, characterized in that the chemically bonded stabilizer (e) is present in the solid compound (B).
20. The solid composition as claimed in claim 1, characterized in that the compound (B) contains reactive functional groups (c) which are able to undergo thermal crosslinking reactions with groups (c) of its own kind and/or with complementary functional groups (b) which are also present in the methacrylate copolymer (A) and/or in at least one crosslinking agent.
21. The solid composition as claimed in claim 1, characterized in that the methacrylate copolymer (A) and/or the compound (B) comprises chemically bonded photoinitiators and/or photoco-initiators.
22. The use of the solid composition as claimed in claim 1 as coating materials, adhesives or sealing compounds which can be crosslinked thermally and/or with

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actinic radiation, or to produce coating materials, adhesives or sealing compounds which can be crosslinked thermally and/or with actinic radiation.

23. Coating materials, adhesives or sealing compounds comprising at least solid compositions as claimed in claim 1.
24. The coating materials, adhesives or sealing compounds as claimed in claim 23, characterized in that at least one further constituent curable with actinic radiation is present therein.
25. The coating materials, adhesives or sealing compounds as claimed in claim 24, characterized in that the further constituent is selected from the group containing other (meth)acrylate-functional (meth)acrylic copolymers, polyether acrylates, polyester acrylates, unsaturated polyesters, epoxy acrylates, amino acrylates, melamine acrylates, silicone acrylates, and the corresponding methacrylates.
26. The coating materials, adhesives or sealing compounds as claimed in claim 25, characterized in that the unsaturated polyester is selected from the group containing amorphous, partly crystalline and crystalline solid polyesters containing at least one terminal group which derives from the adduct of dicyclopentadiene and maleic anhydride in a molar ratio of 1:1, and/or at least one endomethylenetetrahydrophthalic acid group.
27. The coating materials, adhesives or sealing compounds as claimed in claim 23, characterized in that at least one further additive is present therein.
28. The coating materials, adhesives or sealing compounds as claimed in claim 23, characterized in that they are present as powders, powder slurries, or a solution or dispersion in organic solvents.

CLAIMS AS FILED - BASF/NAE 1032/99

29. The use of the coating materials, adhesives or sealing compounds as claimed in claim 23 to produce coatings, adhesive films or seals for primed or unprimed substrates.
30. A process for producing coatings, adhesive films or seals for primed or unprimed substrates, wherein
- (1) at least one coating material and/or adhesive and/or at least one sealing compound as claimed in claim 23 in the form of
    - (1.1) a melt,
    - (1.2) a powder,
    - (1.3) a powder slurry or
    - (1.4) a dispersion or a solution in at least one organic solventis applied to the primed or unprimed substrate,
  - (2) the resulting powder slurry film (1.3) or the resulting film of a dispersion or a solution (1.4) is dried or the resulting film of the melt (1.1) is caused to solidify or is maintained in the melted state by heating,
  - (3) the resulting solid film (1.2), (1.3) or (1.4) is melted by heating, and
  - (4) the melted film which results in process step (2) or (3),
    - (4.1) in the melted state,
    - (4.2) on solidification and/or
    - (4.3) after solidification,is cured with actinic radiation.
31. The process as claimed in claim 30, characterized in that the film is thermally

[illegible]

cured by heating before, during or after process step (4).

32. The process as claimed in claim 30, characterized in that heating is carried out with near infrared (NIR) light.
33. Coatings, adhesive films or seals on primed or unprimed substrates, by the process as claimed in claim 30.
34. Primed and unprimed substrates, especially bodies of automobiles and commercial vehicles, industrial components, including plastics parts, packaging, coils and electrical components, or furniture, comprising at least one coating, at least one adhesive film and/or at least one seal as claimed in claim 33.

BASF/NAE 1032/99 PCT  
BASF Aktiengesellschaft/BASF Coatings AG

25.09.2000

**Solid composition containing bonds which can be  
activated with actinic radiation, and its use**

The present invention relates to novel solid  
5 compositions which contain bonds which can be activated  
with actinic radiation and comprise at least two  
materially different compounds. The present invention  
also relates to a novel process for preparing the novel  
compositions. The present invention additionally  
10 relates to novel coating materials, adhesives or  
sealing compounds which can be prepared using the new  
compositions. The present invention relates,  
furthermore, to a novel process for producing novel  
coatings, adhesive films, and seals on primed or  
15 unprimed substrates, using the novel coating materials,  
adhesives or sealing compounds. The present invention  
relates not least to novel primed or unprimed  
substrates which comprise novel coatings, adhesives  
and/or seals.

20 The coating or painting of primed or unprimed  
substrates with solid pulverulent coating materials,  
adhesives and/or sealing compounds which can be cured  
with actinic radiation is attracting more and more  
25 interest. The reason for this are advantages  
anticipated in terms of surface smoothness and the  
reduced thermal load on the substrates, which arise  
from the separation of the melting process and curing



reaction as compared with coating materials, adhesives and sealing compounds which are curable by means of heat alone.

5 Here and below, actinic radiation means electromagnetic radiation such as X-rays, UV radiation, visible light or near IR light (NIR) or corpuscular radiation such as electron beams.

10 In the practical development of solid pulverulent coating materials, adhesives and/or sealing compounds curable with actinic radiation, especially powder coating materials, however, a number of problems arise.

15 The principal problem, especially in the case of radically curable systems based on (meth)acrylate-functionalized polyurethanes, which are particularly attractive from a performance standpoint, is the premature heat-initiated polymerization. This thermal

\*20 polymerization leads to problems in the working-up of the solids into powder coating materials, for which, usually, multiple melting procedures are required. Even less attractive is the premature thermal polymerization that occurs when melting the powder coating materials  
25 onto the substrates, before irradiating them with actinic radiation. The fundamental advantage of radiation-curable powder coating materials over heat-curable powder coating materials, especially with regard to surface smoothness, that results from the

separation of melting process from curing reaction, cannot be realized in this case.

5 The unwanted premature thermal polymerization may be prevented by adding sufficient amounts of polymerization inhibitors such as phenothiazine or hydroquinone; at the same time, however, the reactivity on exposure to actinic radiation is reduced to such an extent that the resulting exposure times were so long  
10 as to be of no industrial interest.

Further problems arise from the requirement for blocking resistance and low melting temperature of the solid radiation-curable powder coating materials, low  
15 viscosity of the melts, and good elasticity in the coatings. In the context of these problems, there exist multiple divergent functions: (meth)acrylate-functionalized polyurethanes with a low melting temperature and low melt viscosity are usually  
20 crystalline monomeric compounds or very low molecular mass oligomeric solids, which after crosslinking give rise to brittle films and coatings. (Meth)acrylate-functionalized polyurethanes of higher molecular mass normally give more elastic films that, however, have  
25 high melting temperatures and form highly viscous melts, which reduces the surface smoothness.

Moreover, the preparation of the (meth)acrylate-functionalized polyurethanes is comparatively complex

and therefore expensive. In addition, the radiation-curable powder coating materials known to date leave something to be desired in terms of the scratch resistance, chemical resistance, and weathering  
5 stability of the coatings produced from them.

This also applies, *mutatis mutandis*, to the adhesives and sealing compounds.

10 Because of their economic and technological attractiveness, there has been no lack of attempts to develop the radiation-curable powder coating materials further.

15 For instance, the German patent application DE-A-24 36 186 or the US patent 3,974,303 describe pulverulent and thermoplastic polymers which contain from 0.5 to 3.5 polymerizable unsaturated double bonds per 1000 molar weight, and their use as radiation-curable binders.

20 Described specifically is a (meth)acrylate-functionalized polyurethane prepared in the melt from tolylene diisocyanate, 2-hydroxyethyl methacrylate, and trimethylolpropane in a molar ratio of 3:3:1. The (meth)acrylate-functionalized polyurethane has a  
25 melting point of around 65°C and a polymerizable double bond content of 2.9 double bonds per 1000 molecular weight. No details, however, are given concerning the stability of the melt. The polyurethane can be used *per se* as an actinic radiation curable powder coating

material. No details are given regarding the stability or mechanical quality of the coatings produced using it. Because of the high level of aromatic structures it contains, however, it is likely that the coatings  
5 produced from it are not stable to weathering but instead tend toward yellowing under the effect of sunlight.

The US patent 4,208,313 describes unsaturated  
10 polymethacrylates having a low molecular weight and narrow molecular weight distribution, and mentions UV-curable powder coating materials, among others. The substances described, however, are solutions and/or oily liquids. There are no indications from this of a  
15 solution to the problems described above in the context of developing technically usable coating materials curable with actinic radiation, particularly powder coating materials.

20 The European patent application EP-A-0 636 669 describes mixtures of unsaturated polyesters or (meth)acrylate-functionalized polyacrylates, the polyacrylates being obtained in a conventional polymerization, and polyurethane crosslinking agents  
25 functionalized with vinyl ethers or (meth)acrylic esters. The examples disclose only mixtures of polyesters and vinyl ether urethanes. The vinyl ether urethanes are prepared in chloroform as solvent. No teaching is given on preparing olefinically unsaturated

polyurethanes for overcoming the complex problems indicated above in the context of developing technically usable radiation-curable powder coating materials.

5

The European patent application EP-A-0 410 242 discloses polyurethanes containing (meth)acryloyl groups in an amount corresponding to from 3 to 10% by weight, based on the polyurethane, of  $\text{=C=C=}$  (molecular weight 24). These known polyurethanes have melting points or melting ranges in the temperature range from 50 to 180°C (no further details are given). They are prepared using isophorone diisocyanate, 4,4'-diisocyanatodicyclohexylmethane, 4,4'-diisocyanatodiphenylmethane, its technical-grade mixtures with 2,4-diisocyanatodiphenylmethane, and, where appropriate, the higher homologues of these diisocyanates, 2,4-diisocyanatotoluene and its technical-grade mixtures with 2,6-diisocyanatotoluene (tolylene diisocyanate), and also biuret-, isocyanurate- or urethane-modified polyisocyanates based on these simple polyisocyanates. As far as the polyurethanes based on aromatic polyisocyanates are concerned, the above comments apply. Besides this, it is difficult to use these polyisocyanates as a basis for preparing polyurethanes which have a particularly narrow melting range, let alone a defined melting point. In particular, the use of polyisocyanates having an average functionality  $>2$  leads to polyurethanes with an undesirably broad

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molecular weight distribution, making them of only limited usefulness in radiation-curable powder coating materials. Admittedly, some of the polyurethanes specified in the examples begin to soften at  
5 practicable temperatures of 85-95°C; as a result of their high level of branching, however, the melt viscosity at these temperatures is too high for them to be used in radiation-curable powder coating materials. Furthermore, the polyurethanes are prepared in ethyl  
10 acetate as solvent, after which the solvent must be evaporated in vacuo at low temperatures. No details are given of stabilizing against premature thermal crosslinking of the melts without adversely affecting the reactivity on radiation curing. Teaching to solve  
15 the above-described further complex problems in the development of technically usable radiation-curable powder coating materials is not given.

The European patent EP-A-0 783 534 discloses  
20 (meth)acrylate-functionalized polyurethanes obtained using monofunctional hydroxy compounds without unsaturated groups. Although this lowers the viscosity, the nonreactive terminal groups reduce the reactivity on curing with actinic radiation. The desire, however,  
25 is for coatings crosslinked thermally using peroxides. No details are given of stabilizing against premature thermal crosslinking without adversely affecting the reactivity on radiation curing. Teaching to solve the above-described further complex problems in the

development of technically usable UV powder coating systems is not given.

5 The problems depicted may be reduced, admittedly, but not satisfactorily solved, with the aid of (meth)acrylate-functionalized (meth)acrylate copolymers having a comparatively low molecular weight and a comparatively narrow molecular weight distribution, as obtainable by the technique of high-temperature  
10 polymerization. (Meth)acrylate-functionalized methacrylate copolymers of this kind are described in the European patents EP-A-0 650 978, 0 650 979 or 0 650 985, or the international patent application WO 97/46549.

15 The international patent application WO 99/14254 describes mixtures of olefinically unsaturated resins and crosslinkers, the crosslinkers containing vinyl ether, 1-propenyl ether or 1-butenyl ether group. If  
20 desired, it is also possible to use a further crosslinker with (meth)acrylate functionalization. Information on stabilizing the systems is not given.

It is an object of the present invention to provide  
25 novel solid compositions which contain bonds which can be activated with actinic radiation and comprise at least two compounds which are materially different from one another, and which no longer have the disadvantages of the prior art but instead can be prepared simply,

melt at comparatively low temperatures, are stable in the melt and have a low melt viscosity, do not tend toward premature thermal crosslinking, exhibit a high level of reactivity on curing with actinic radiation, do not block in powder form but instead flow freely, and are extremely suitable for the preparation of novel, particularly pulverulent, coating materials, adhesives, and sealing compounds; the novel coating materials, adhesives, and sealing compounds ought to give coatings, adhesives, and seals which are intended to have a high level of hardness, elasticity, scratch resistance, chemical resistance, weathering stability, and the coatings in particular, especially the surface coating systems, are intended to have a very smooth surface and a very good overall appearance.

The invention accordingly provides the novel solid composition comprising

(A) at least one solid (meth)acrylate copolymer with a number-average molecular weight of from 850 to 10 000 and a molecular weight polydispersity  $M_w/M_n$  of from 1.0 to 5.0, containing at least one group (a) having at least one bond which can be activated with actinic radiation; and

(B) at least one solid compound comprising a parent structure and, attached thereto, on average per molecule more than one group (a) having at least



one bond which can be activated with actinic radiation;

which is referred to below as the "composition of the invention".

The invention also provides the novel coating materials, adhesives, and sealing compounds which are prepared with the aid of the compositions of the invention and are referred to below as "coating materials, adhesives, and sealing compounds of the invention".

The invention additionally provides the novel process for producing coatings, adhesive films or seals for primed or unprimed substrates, wherein

(1) at least one coating material and/or adhesive and/or at least one sealing compound of the invention in the form of

- (1.1) a melt,
- (1.2) a powder,
- (1.3) a powder slurry or
- (1.4) a dispersion or a solution in at least one organic solvent

is applied to the primed or unprimed substrate,

(2) the resulting powder slurry film (1.3) or the resulting film of a dispersion or a solution (1.4) is dried or the resulting film of the melt (1.1) is caused to solidify or is maintained in the melted state by heating,

(3) the resulting solid film (1.2), (1.3) or (1.4) is melted by heating, and

(4) the melted film which results in process step (2) or (3),

(4.1) in the melted state,

(4.2) on solidification and/or

(4.3) after solidification,

is cured with actinic radiation.

In the text below, the novel process for producing coatings, adhesive films, and seals for primed or unprimed substrates from the coating materials, adhesives, and sealing compounds of the invention is referred to as the "process of the invention".

The invention further provides the novel coatings, adhesive films, and sealing compounds produced by means of the coating materials, adhesives, and sealing compounds of the invention and/or by the process of the invention, and referred to below as "coatings, adhesive

films, and sealing compounds of the invention".

The invention relates not least to the novel primed or unprimed substrates which have at least one coating,  
5 adhesive film and/or seal of the invention and are referred to collectively below as "substrates of the invention".

Further subject matter of the invention will emerge  
10 from the following description.

For the purposes of the present invention, a bond which can be activated with actinic radiation is a bond which on exposure to actinic radiation becomes reactive and,  
15 together with other activated bonds of its kind, undergoes polymerization reactions and/or crosslinking reactions which proceed in accordance with radical and/or ionic mechanisms. Examples of suitable bonds are carbon-hydrogen single bonds or carbon-carbon, carbon-  
20 oxygen, carbon-nitrogen, carbon-phosphorus or carbon-silicon single bonds or double bonds. Of these, the carbon-carbon double bonds are particularly advantageous and are therefore used with very particular preference in accordance with the invention.  
25 For the sake of brevity, they are referred to below as "double bonds".

The first essential constituent of the composition of the invention is at least one solid (meth)acrylate

copolymer (A), in particular a methacrylate copolymer (A).

It has a number-average molecular weight  $M_n$  of from 850  
5 to 10 000, preferably from 900 to 9500, more preferably  
from 950 to 9000, with particular preference from 950  
to 8500, with very particular preference from 1000 to  
8000, and in particular from 1000 to 7500. Its  
molecular weight polydispersity  $M_w/M_n$  is from 1.0 to  
10 5.0, preferably from 1.0 to 4.5, in particular from 1.0  
to 4.0.

On average, the (meth)acrylate copolymer (A) for  
inventive use contains at least one group (a) having at  
15 least one double bond.

Accordingly, the group (a) contains one double bond or  
two, three or four double bonds. Where more than one  
double bond is used, the double bonds may be  
20 conjugated. In accordance with the invention, however,  
it is of advantage if the double bonds are present in  
isolation, in particular each terminally, in the group  
(a). It is of particular advantage in accordance with  
the invention to use two, in particular one, double  
25 bond.

The (meth)acrylate copolymer (A) further contains on  
average at least one group (a). This means that the  
functionality of the (meth)acrylate copolymer (A) is

integral, i.e., is for example one, two, three, four, five or more, or nonintegral, i.e., is for example from 1.1 to 10.5 or more. Which functionality is chosen depends, on the one hand, on the stoichiometric proportions of the starting products of the (meth)acrylate copolymer (A), which, on the other hand, depend in turn on its intended applications.

Where on average more than one group (a) per molecule is employed, the at least two groups (a) are structurally different from one another or of identical structure.

Where they are structurally different from one another, this means for the purposes of the present invention that two, three, four or more, but in particular two, groups (a) are used which are derived from two, three, four or more but especially two, monomer classes.

Examples of suitable groups (a) are (meth)acrylate, ethacrylate, crotonate, cinnamate, vinyl ether, vinyl ester, dicyclopentadienyl, norbornenyl, isoprenyl, isopropenyl, allyl or butenyl groups; dicyclopentadienyl ether, norbornenyl ether, isoprenyl ether, isopropenyl ether, allyl ether or butenyl ether groups, or dicyclopentadienyl ester, norbornenyl ester, isoprenyl ester, isopropenyl ester, allyl ester or butenyl ester groups.

Accordingly, the solid of the invention may [lacuna] a combination of two, three, four or more, but especially two, of the abovementioned groups (a), for example

- 5 - (meth)acrylate groups and  
ethacrylate, crotonate, cinnamate, vinyl ether,  
vinyl ester, dicyclopentadienyl, norbornenyl,  
isoprenyl, isopropenyl, allyl and/or butenyl  
groups; dicyclopentadienyl ether, norbornenyl  
10 ether, isoprenyl ether, isopropenyl ether, allyl  
ether and/or butenyl ether groups, and/or  
dicyclopentadienyl ester, norbornenyl ester,  
isoprenyl ester, isopropenyl ester, allyl ester  
and/or butenyl ester groups;
- 15 - cinnamate groups and  
(meth)acrylate, ethacrylate, crotonate, vinyl  
ether, vinyl ester, dicyclopentadienyl,  
norbornenyl, isoprenyl, isopropenyl, allyl and/or  
20 butenyl groups; dicyclopentadienyl ether,  
norbornenyl ether, isoprenyl ether, isopropenyl  
ether, allyl ether and/or butenyl ether groups,  
and/or dicyclopentadienyl ester, norbornenyl  
ester, isoprenyl ester, isopropenyl ester, allyl  
25 ester and/or butenyl ester groups;
- vinyl ether groups and  
cinnamate, (meth)acrylate, ethacrylate, crotonate,  
vinyl ester, dicyclopentadienyl, norbornenyl,

isoprenyl, isopropenyl, allyl and/or butenyl groups; dicyclopentadienyl ether, norbornenyl ether, isoprenyl ether, isopropenyl ether, allyl ether and/or butenyl ether groups, and/or  
5 dicyclopentadienyl ester, norbornenyl ester, isoprenyl ester, isopropenyl ester, allyl ester and/or butenyl ester groups; or

- allyl groups and  
10 (meth)acrylate, ethacrylate, crotonate, cinnamate, vinyl ether, vinyl ester, isoprenyl, dicyclopentadienyl, norbornenyl, isopropenyl, and/or butenyl groups; dicyclopentadienyl ether, norbornenyl ether, isoprenyl ether, isopropenyl  
15 ether, allyl ether and/or butenyl ether groups, and/or dicyclopentadienyl ester, norbornenyl ester, isoprenyl ester, isopropenyl ester, allyl ester and/or butenyl ester groups.

20 In accordance with the invention, the combinations of the (meth)acrylate group, especially the acrylate group, with at least one, especially one, other kind of groups (a) are of advantage and are therefore used with preference.

25

If only one kind of groups (a) is used, it is preferred to use (meth)acrylate groups, especially acrylate groups.

The (meth)acrylate copolymers (A) may be prepared by any desired copolymerization methods. In accordance with the invention it is of advantage to prepare them by

5

(1) radical high-temperature polymerization of

(m1) at least one methacrylate and

10

(m2) at least one monomer copolymerizable therewith, with

(m3) from 5.0 to 50 mol% of the total amount of monomers (m1) and (m2) being monomers which carry nonpolymerizable reactive functional groups (b); and

15

(2) polymer-analogous reaction of the resulting methacrylate copolymer, which carries at least one reactive functional group (b), with

20

(m4) at least one compound containing at least one bond which can be activated with actinic radiation and at least one reactive functional group (c) which is complementary to the group (b),

25

to give the group (a).



The radical high-temperature polymerization is preferably conducted at temperatures from 140 to 220°C, more preferably from 145 to 210°C, and in particular from 150 to 200°C in concentrated solution or in bulk in the melt. It is preferred to use free-radical initiators such as organic peroxides, organic azo compounds or C-C-cleaving initiators such as dialkyl peroxides, peroxocarboxylic acids, peroxodicarbonates, peroxide esters, hydroperoxides, ketone peroxides, azodinitriles or benzpinacol silyl ethers, which provide free radicals at temperatures from 140 to 200°C.

Examples of suitable methacrylates (m1) which carry no reactive functional groups (b) are alkyl or cycloalkyl methacrylates having up to 20 carbon atoms in the alkyl radical, especially methyl, ethyl, propyl, n-butyl, sec-butyl, tert-butyl, hexyl, ethylhexyl, stearyl, and lauryl acrylate or methacrylate; cycloaliphatic methacrylates, especially cyclohexyl, isobornyl, dicyclopentadienyl, octahydro-4,7-methano-1H-indene-methanol or tert-butylcyclohexyl methacrylate; oxaalkyl methacrylates or oxacycloalkyl methacrylates such as ethyl triglycol methacrylate and methoxyoligoglycol methacrylate having a molecular weight  $M_n$  of preferably 550; or other ethoxylated and/or propoxylated, hydroxyl-free methacrylic acid derivatives. These may contain minor amounts of higher polyfunctional alkyl or cycloalkyl methacrylates such as ethylene glycol,

propylene glycol, diethylene glycol, dipropylene glycol, butylene glycol, pentane-1,5-diol, hexane-1,6-diol, octahydro-4,7-methano-1H-indenedimethanol or cyclohexane-1,2-, -1,3- or -1,4-diol dimethacrylate; 5 trimethylolpropane trimethacrylate; or pentaerythritol tetramethacrylate. For the purposes of the present invention, minor amounts of higher polyfunctional monomers (m1) are amounts which do not lead to crosslinking or gelling of the polyacrylate resins.

10

Examples of suitable monomers (m2) which carry no reactive functional groups (b) are alkyl or cycloalkyl acrylates having up to 20 carbon atoms in the alkyl radical, especially methyl, ethyl, propyl, n-butyl, 15 sec-butyl, tert-butyl, hexyl, ethylhexyl, stearyl, and lauryl acrylate or acrylate; cycloaliphatic acrylates, especially cyclohexyl, isobornyl, dicyclopentadienyl, octahydro-4,7-methano-1H-indenemethanol or tert-butylcyclohexyl acrylate; oxaalkyl methacrylates or 20 oxacycloalkyl methacrylates such as ethyl triglycol acrylate and methoxyoligoglycol acrylate having a molecular weight Mn of preferably 550; or other ethoxylated and/or propoxylated, hydroxyl-free acrylic acid derivatives. These may contain minor amounts of 25 higher polyfunctional alkyl or cycloalkyl acrylates such as ethylene glycol, propylene glycol, diethylene glycol, dipropylene glycol, butylene glycol, pentane-1,5-diol, hexane-1,6-diol, octahydro-4,7-methano-1H-indenedimethanol or cyclohexane-1,2-, -1,3- or -1,4-

diol dicrylate; trimethylolpropane triacrylate; or pentaerythritol tetraacrylate. For the purposes of the present invention, minor amounts of higher polyfunctional monomers (m<sub>2</sub>) are amounts which do not  
5 lead to crosslinking or gelling of the polyacrylate resins.

Further examples of suitable monomers (m<sub>2</sub>) which carry no reactive functional groups (b) are

- 10
- vinyl esters of alpha-branched monocarboxylic acids having from 5 to 18 carbon atoms in the molecule. The branched monocarboxylic acids may be obtained by reacting formic acid or carbon  
15 monoxide and water with olefins in the presence of a liquid, strongly acidic catalyst; the olefins may be cracking products of paraffinic hydrocarbons, such as mineral oil fractions, and may contain both branched and straight-chain  
20 acyclic and/or cycloaliphatic olefins. The reaction of such olefins with formic acid or with carbon monoxide and water produces a mixture of carboxylic acids in which the carboxyl groups are located predominantly on a quaternary carbon atom.  
25 Other olefinic starting materials are, for example, propylene trimer, propylene tetramer and diisobutylene. Alternatively, the vinyl esters may be prepared conventionally from the acids, for example by reacting the acid with acetylene.

Particular preference - owing to their ready availability - is given to using vinyl esters of saturated aliphatic monocarboxylic acids having from 9 to 11 carbon atoms which are branched on the alpha carbon atom.

5

- Olefins such as ethylene, propylene, but-1-ene, pent-1-ene, hex-1-ene, cyclohexene, cyclopentene, norbornene, butadiene, isoprene, cyclopentadiene and/or dicyclopentadiene;

10

- vinylaromatic hydrocarbons, such as styrene, alpha-alkylstyrenes, especially alpha-methylstyrene, arylstyrenes, especially diphenylethylene, and/or alkylstyrenes, especially vinyltoluene;

15

- nitriles such as acrylonitrile and/or methacrylonitrile;

20

- vinyl compounds such as vinyl chloride, vinyl fluoride, vinylidene dichloride, vinylidene difluoride; N-vinylpyrrolidone; vinyl ethers such as ethyl vinyl ether, n-propyl vinyl ether, isopropyl vinyl ether, n-butyl vinyl ether, isobutyl vinyl ether and/or vinyl cyclohexyl ether; vinyl esters such as vinyl acetate, vinyl propionate, vinyl butyrate, vinyl pivalate, vinyl esters of Versatic® acids, which are sold under

25

the brand name VeoVa® by Deutsche Shell Chemie  
(for further details, refer to Römpf Lexikon Lacke  
und Druckfarben, Georg Thieme Verlag, Stuttgart,  
New York, 1998, page 598 and also pages 605 and  
5 606) and/or the vinyl esters of 2-methyl-2-ethyl-  
heptanoic acid; and/or

- polysiloxane macromonomers which have a number-  
average molecular weight  $M_n$  of from 1000 to  
10 40 000, preferably from 2000 to 20 000, with  
particular preference from 2500 to 10 000, and in  
particular from 3000 to 7000 and contain on  
average per molecule from 0.5 to 2.5, preferably  
from 0.5 to 1.5, ethylenically unsaturated double  
15 bonds, as are described in DE-A-38 07 571 on pages  
5 to 7, in DE-A-37 06 095 in columns 3 to 7, in  
EP-B-0 358 153 on pages 3 to 6, in US-A 4,754,014  
in columns 5 to 9, in DE-A 44 21 823 or in the  
international patent application WO 92/22615 on  
20 page 12 line 18 to page 18 line 10, or  
acryloyloxysilane-containing vinyl monomers,  
preparable by reacting hydroxy-functional silanes  
with epichlorohydrin and then reacting the  
reaction product with methacrylic acid and/or  
25 hydroxyalkyl esters of (meth)acrylic acid.

Advantageously, based on each case on the total amount  
of monomers (m1) and (m2), from 50 to 85 mol% of the  
monomers (m1) and from 15 to 50 mol% of the monomers

(m2) are used for the preparation of the (meth)acrylate copolymers (A).

It is essential that from 5.0 to 50 mol%, based on the  
5. total amount of monomers (m1) and (m2), are monomers  
(m3) which carry at least one, in particular one, non-  
polymerizable reactive functional group (b). The  
reactive functional group (b) is capable of undergoing  
reactions, especially addition reactions or  
10 condensation reactions, with complementary functional  
groups (c).

These complementary reactive functional groups (c) are  
contained in the compounds (m4) with which the  
15 (meth)acrylate copolymer, formed by high-temperature  
polymerization, is reacted in polymer-analogous  
reaction to give the (meth)acrylate copolymer (A) for  
inventive use. The polymer-analogous reaction takes  
place preferably in a reaction extruder at temperatures  
20 from 90 to 140°C, with residence times of from 3 to 20  
minutes, and with conversions of from 50 to 100%.

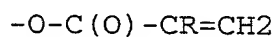
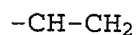
Examples of suitable complementary groups (b) and (c)  
are revealed in the overview below.

25

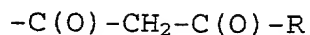
**Overview: Complementary reactive functional groups (b)  
and (c)**

(b)                      and                      (c)

	(c)	or and	(b)
	-SH		-C(O)-OH
5	-NH <sub>2</sub>		-C(O)-O-C(O)-
	-OH		-NCO
10	-O-(CO)-NH-(CO)-NH <sub>2</sub>		-NH-C(O)-OR
	-O-(CO)-NH <sub>2</sub>		-CH <sub>2</sub> -OH
			-CH <sub>2</sub> -O-CH <sub>3</sub>
15			-NH-C(O)-CH(-C(O)OR) <sub>2</sub>
			-NH-C(O)-CH(-C(O)OR)(-C(O)-R)
20			-NH-C(O)-NR <sup>1</sup> R <sup>2</sup>
			>Si(OR) <sub>2</sub>
			O
25			-CH-CH <sub>2</sub>
	-C(O)-OH		O



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10

In the overview, the variable R stands for an acyclic or cyclic aliphatic radical, an aromatic radical and/or an aromatic-aliphatic (araliphatic) radical; the variables  $\text{R}^1$  and  $\text{R}^2$  stand for identical or different aliphatic radicals or are linked with one another to form an aliphatic or heteroaliphatic ring.

15

Examples of suitable monomers (m3) and compounds (m4) are

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- 2-hydroxyethyl, 2-hydroxypropyl, 3-hydroxypropyl, 3-hydroxybutyl, 4-hydroxybutyl, bis(hydroxymethyl)cyclohexane, neopentyl glycol, diethylene glycol, dipropylene glycol, dibutylene glycol, and triethylene glycol acrylate, methacrylate, ethacrylate, crotonate, cinnamate, vinyl ether, allyl ether, dicyclopentadienyl ether, norbornenyl ether, isoprenyl ether, isopropenyl ether or butenyl ether;

25



- trimethylolpropane di-, glycerol di-, trimethylol-ethane di-, pentaerythritol tri- or homopentaerythritol tri-acrylate, -methacrylate, -ethacrylate, -crotonate, -cinnamate, -vinyl ether, -allyl ether, -dicyclopentadienyl ether, -norbornenyl ether, -isoprenyl ether, -isopropenyl ether or -butenyl ether; or
- reaction products of cyclic esters, such as epsilon-caprolactone, for example, and the above-described hydroxyl-containing monomers;
- reaction products of acrylic acid, methacrylic acid, ethacrylic acid or crotonic acid with the glycidyl ester of an alpha-branched monocarboxylic acid having from 5 to 18 carbon atoms per molecule. It is preferred to use the reaction product of acrylic and/or methacrylic acid with the glycidyl ester of Versatic® acid. This glycidyl ester is available commercially under the name Cardura® E10. For further details, refer to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag, Stuttgart, New York, 1998, pages 605 and 606;
- olefinically unsaturated acids such as acrylic acid, methacrylic acid, ethacrylic acid or crotonic acid, mono(meth)acryloyloxyethyl maleate, succinate or phthalate;

- (meth)acrylamides such as (meth)acrylamide, N-methyl-, N,N-dimethyl-, N-ethyl-, N,N-diethyl-, N-propyl-, N,N-dipropyl, N-butyl-, N,N-dibutyl-, N-cyclohexyl-, N,N-cyclohexylmethyl-, N-hydroxy-  
5 methyl-, N-butoxymethyl- and/or N-methoxy-  
methyl(meth)acrylamide;
- 2-aminoethyl (meth)acrylate and/or 3-aminopropyl  
10 (meth)acrylate;
- monomers containing epoxide groups such as the  
glycidyl ester of acrylic acid, methacrylic acid,  
ethacrylic acid or crotonic acid;
- 15 - acrolein, methacrolein, vinyl methyl ketone,  
1-[(meth)acryloyloxy]acetylacetone and/or  
2-carbamoyloxyethyl (meth)acrylate; and/or
- vinyl isocyanate, methacryloyl isocyanate and/or  
20 1-(1-isocyanato-1-methylethyl)-3-(1-methylethen-  
yl)benzene (TMI® from CYTEC).

Further details of the preparation and properties of  
the (meth)acrylate copolymers (A) are disclosed in the  
25 European patents EP-A-0 650 985, 0 650 978 and  
0 650 979.

In the compositions of the invention the (meth)acrylate  
copolymers (A) are present preferably in an amount,

based on the composition of the invention, of from 5.0 to 95%, more preferably from 10 to 90%, with particular preference from 15 to 85%, with very particular preference from 20 to 80%, and in particular from 25 to 5 75%, by weight.

The further inventively essential constituent of the composition of the invention is at least one solid compound (B). It comprises a parent structure and, 10 attached thereto, on average per molecule more than one, preferably more than 1.3, more preferably more than 1.5, with particular preference more than 1.6, with very particular preference more than 1.8, and in particular more than 2 group(s) (a) having at least one 15 bond which can be activated with actinic radiation, in particular a double bond.

Examples of suitable groups (a) are those described above; the comments made there apply here, mutatis 20 mutandis.

The groups (a) of the solid compounds (B) are attached by way of urethane, ester, ether and/or amide groups, but in particular by way of urethane groups, to the 25 parent structure of the solid of the invention. The following two linking structures I and II come into consideration for this purpose:

**parent structure-NH-C(O)-O-group (a) (I) and**

**parent structure-O-(O)C-NH-group (a) (II).**

The solid compound (B) may contain both linking structures I and II or only one of them. In general, the structure I is of advantage, owing to the large number of starting materials available and their comparatively greater ease of preparation, and is therefore employed with preference in accordance with the invention.

10

The groups (a) are attached terminally and/or laterally to the parent structure. Which type of attachment is chosen depends in particular on whether the functional groups are present terminally or laterally in the parent structure with which the starting products of the groups (a) are able to react. In many cases, terminal groups (a) are more reactive than lateral groups (a), owing to the absence of steric shielding, and are therefore used with preference. On the other hand, however, the reactivity of the solid of the invention may be specifically controlled by way of the ratio of terminal to lateral groups (a), which is a further particular advantage of the solid of the invention.

25

The parent structure of the solid compound (B) is of low molecular mass, oligomeric and/or polymeric. That is to say that the solid compound (B) is a low molecular mass compound, an oligomer or a polymer. Or

else the solid compound (B) comprises low molecular mass and oligomeric, low molecular mass and polymeric, oligomeric and polymeric, or low molecular mass, oligomeric, and polymeric parent structures; in other words, it is a mixture of low molecular mass compounds and oligomers, of low molecular mass compounds and polymers, of oligomers and polymers, or of low molecular mass compounds, oligomers, and polymers.

For the purposes of the present invention, oligomers are resins whose molecule contains at least 2 to 15 repeating monomer units. For the purposes of the present invention, polymers are resins which contain at least 10 repeating monomer units in their molecule. For further details of these terms, refer to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag, Stuttgart, New York, 1998, "oligomers", page 425.

The low molecular mass, oligomeric or polymeric parent structure comprises or consists of aromatic, cycloaliphatic and/or aliphatic structures or building blocks. It preferably comprises or consists of cycloaliphatic or aliphatic structures, especially cycloaliphatic and aliphatic structures.

Examples of suitable aromatic structures are aromatic and heteroaromatic rings, especially benzene rings.

Examples of cycloaliphatic structures are cyclobutane,

cyclopentane, cyclohexane, cycloheptane, norbornane, camphane, cyclooctane or tricyclodecane rings, especially cyclohexane rings.

- 5 Examples of aliphatic structures are linear or branched alkyl chains having from 2 to 20 carbon atoms or chains as result from the (co)polymerization of olefinically unsaturated monomers.

- 10 The parent structure, especially oligomeric and/or polymeric parent structure, may also contain olefinically unsaturated double bonds.

- The parent structure, especially the oligomeric and/or  
15 polymeric parent structure, is of linear, branched, hyperbranched or dendrimeric structure.

- It may contain polyvalent, especially divalent, functional groups (d) by means of which the above-  
20 described structures or building blocks are linked with one another to form the parent structure. These groups are generally selected so as not to disrupt, let alone prevent completely, the crosslinking and/or polymerization initiated thermally and/or by actinic  
25 radiation. Examples of suitable functional groups are ether, thioether, carboxylate, thiocarboxylate, carbonate, thiocarbonate, phosphate, thiophosphate, phosphonate, thiophosphonate, phosphite, thiophosphite, sulfonate, amide, amine, thioamide, phosphoramidate,

thiophosphoramidate, phosphoramidate, thiophosphonamide, sulfonamide, imide, urethane, hydrazide, urea, thiourea, carbonyl, thiocarbonyl, sulfone, sulfoxide or siloxane groups. Of these groups, the ether, carboxylate, carbonate, carboxamide, urea, urethane, imide and carbonate groups, especially the carboxylate and the urethane groups, are of advantage and are therefore used with preference.

Advantageous oligomeric and polymeric parent structures are therefore derived from random, alternating and/or block, linear, branched, hyperbranched, dendrimeric and/or comb addition (co)polymers of ethylenically unsaturated monomers, polyaddition resins and/or polycondensation resins. For further details of these terms, refer to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag, Stuttgart, New York, 1998, page 457, "polyaddition" and "polyaddition resins (polyadducts)", and also pages 463, and 464, "polycondensates", "polycondensation", and "polycondensation resins".

Examples of highly suitable addition (co)polymers are poly(meth)acrylates and partially hydrolyzed polyvinyl esters.

Examples of highly suitable polyaddition resins and/or polycondensation resins are polyesters, alkyds, polyurethanes, polyester-polyurethanes, polylactones,

polycarbonates, polyethers, polyester-polyethers, epoxy resin-amine adducts, polyureas, polyamides or polyimides. Of these, the polyesters, polyester-polyethers, polyurethanes, and polyester-polyurethanes are particularly advantageous and are therefore used with very particular preference in accordance with the invention. Very particular advantages result from the use of polyurethanes and polyester-polyurethanes, and so parent structures on this basis are very particularly preferred.

The parent structure may additionally carry the above-described reactive functional groups (b) which are able to undergo radically, ionically and/or thermally initiated crosslinking reactions with reactive functional groups (b) of their own kind or with other, complementary, functional groups (c). The complementary functional groups (b) and (c) may be present in one and the same parent structure, which is the case with what are known as self-crosslinking systems. Alternatively, the functional groups (c) may be present in another constituent, materially different from the solid of the invention, an example being a crosslinking agent, which is the case with what are known as externally crosslinking systems. For further details, refer to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag, Stuttgart, New York, 1998, "curing", pages 274 to 276. Reactive functional groups (b) and (c) are used in particular when the solid of the invention is to be



curable with actinic radiation and thermally (dual cure). They are selected so as not to disrupt, let alone prevent entirely, the actinic radiation initiated polymerization of the olefinic double bonds of the groups (a). However, it is possible to use reactive functional groups (b) and (c), which undergo addition onto olefinically unsaturated double bonds, in minor amounts - that is, in amounts which are not disruptive.

10 Examples of suitable complementary reactive functional groups (b) and (c) are disclosed in the above overview.

Where the reactive complementary groups (b) and/or (c) are used as well, they are present in the solid of the invention preferably in an amount corresponding to on average from 0.1 to 4 groups per molecule.

A particularly advantageous solid compound (B) contains not only the above-described groups (a) and also, where appropriate, (b), (c), (d), and/or (f) but also at least one chemically bonded stabilizer (e). The particularly advantageous solid compound (B) contains preferably from 0.01 to 1.0 mol%, more preferably from 0.02 to 0.9 mol%, with particular preference from 0.03 to 0.85 mol%, with very particular preference from 0.04 to 0.8 mol%, and in particular from 0.05 to 0.75 mol%, especially from 0.06 to 0.7 mol% of the chemically bonded stabilizer (e), based in each case on the bonds in the solid compound (B) that can be activated with

actinic radiation, especially double bonds.

The chemically bonded stabilizer (e) comprises compounds which are, or which donate, sterically  
5 hindered nitroxyl radicals ( $>\text{N}-\text{O}\bullet$ ) which scavenge free radicals in the modified Denisov cycle.

Examples of suitable chemically bonded stabilizers (e) are HALS compounds, preferably 2,2,6,6-  
10 tetraalkylpiperidine derivatives, especially 2,2,6,6-tetramethylpiperidine derivatives, whose nitrogen atom is substituted by an oxygen atom, an alkyl group, alkylcarbonyl group or alkyl ether group. For further details, refer to the text book "Lackadditive"  
15 [Additives for coatings] by Johan Bieleman, Wiley-VCH, Weinheim, New York, 1998, pages 293 to 295.

The chemically bonded stabilizer (e) may also be present in the solid (meth)acrylate copolymer (A). In  
20 this regard, the comments made above apply, mutatis mutandis.

The parent structure may further comprise chemically bonded photoinitiators and/or photocoinitiators (f).  
25 Examples of suitable chemically bonded photoinitiators are those of the Norrish II type, whose mechanism of action is based on an intramolecular variant of the hydrogen abstraction reactions such as occur diversely in photochemical reactions (by way of example, refer

here to Römpp Chemie Lexikon, 9th expanded and revised edition, Georg Thieme Verlag Stuttgart, Volume 4, 1991) or cationic photoinitiators (by way of example, refer here to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag Stuttgart, 1998, pages 444 to 446), especially benzophenones, benzoin ethers or phosphine oxides. One example of a suitable photoco-  
initiator is anthracene. If the chemically bonded photoinitiators and/or photocoinitiators (f) are used  
as well, they are present in the solid compound (B) in an amount corresponding to on average from 0.01 to 2.0 groups (f) per molecule.

The chemically bonded photoinitiators and/or photocoinitiators (f) may also be present in the solid (meth)acrylate copolymer (A). In this case, the comments made above apply, mutatis mutandis.

The preparation of the parent structures has no special features in terms of its method but instead takes place by means of the customary and known synthesis methods of low molecular mass organic chemistry and/or of polymer chemistry. As far as the oligomeric and/or polymeric parent structures are concerned which are very particularly preferred in accordance with the invention and which are derived from polyesters, polyester-polyethers, polyurethanes and polyester-polyurethanes, but especially the polyurethanes and polyester-polyurethanes, the customary and known

methods of polyaddition and/or polycondensation are employed.

As is known, the very particularly preferred  
5 polyurethanes and polyester-polyurethanes are prepared from polyols and diisocyanates and also, where appropriate, polyamines and amino alcohols. In this context, the polyols and diisocyanates and, where appropriate, the polyamines and amino alcohols are  
10 employed in molar proportions which result in hydroxyl-terminated or isocyanato-terminated polyurethanes or polyester-polyurethanes.

For the preparation of the polyurethanes and polyester-  
15 polyurethanes it is preferred to use diisocyanates and also, where appropriate, minor amounts of polyisocyanates for the purpose of introducing branching. For the purposes of the present invention, minor amounts are amounts which do not bring about  
20 gelling of the polyurethanes and polyester-polyurethanes during their preparation. Said gelling may also be prevented by using small amounts of monoisocyanates as well.

25 Examples of suitable diisocyanates are isophorone diisocyanate (= 5-isocyanato-1-isocyanatomethyl-1,3,3-trimethylcyclohexane), 5-isocyanato-1-(2-isocyanatoethyl-1-yl)-1,3,3-trimethylcyclohexane, 5-isocyanato-1-(3-isocyanatoprop-1-yl)-

1,3,3-trimethylcyclohexane, 5-isocyanato-  
(4-isocyanatobut-1-yl)-1,3,3-trimethylcyclohexane,  
1-isocyanato-2-(3-isocyanatoprop-1-yl)cyclohexane,  
1-isocyanato-2-(3-isocyanatoeth-1-yl)cyclohexane,  
5 1-isocyanato-2-(4-isocyanatobut-1-yl)cyclohexane,  
1,2-diisocyanatocyclobutane, 1,3-diisocyanatocyclo-  
butane, 1,2-diisocyanatocyclopentane, 1,3-diisocyanato-  
cyclopentane, 1,2-diisocyanatocyclohexane, 1,3-diiso-  
cyanatocyclohexane, 1,4-diisocyanatocyclohexane,  
10 dicyclohexylmethane 2,4'-diisocyanate, trimethylene  
diisocyanate, tetramethylene diisocyanate,  
pentamethylene diisocyanate, hexamethylene  
diisocyanate, ethylethylene diisocyanate, trimethyl-  
hexane diisocyanate, heptanemethylene diisocyanate or  
15 diisocyanates derived from dimer fatty acids, such as  
are sold under the commercial designation DDI 1410 by  
Henkel and described in the patents DO 97/49745 and  
WO 97/49747, especially 2-heptyl-3,4-bis(9-isocyanato-  
nonyl)-1-pentylcyclohexane, or 1,2-, 1,4- or 1,3-bis-  
20 (isocyanatomethyl)cyclohexane, 1,2-, 1,4- or 1,3-bis-  
(2-isocyanatoeth-1-yl)cyclohexane, 1,3-bis(3-iso-  
cyanatoprop-1-yl)cyclohexane, 1,2-, 1,4- or 1,3-bis-  
(4-isocyanatobut-1-yl)cyclohexane, liquid bis(4-  
isocyanatocyclohexyl)methane with a trans/trans content  
25 of up to 30% by weight, preferably 25% by weight, and  
in particular 20% by weight, as described by the  
patents DE-A-44 14 032, GB-A-1220717, DE-A-16 18 795 or  
DE-A-17 93 785; tolylene diisocyanate, xylylene  
diisocyanate, biphenylene diisocyanate, naphthylene

diisocyanate or diphenylmethane diisocyanate.

Examples of suitable polyisocyanates are the isocyanurates of the above-described diisocyanates.

5 Further examples of suitable polyisocyanates are polyurethane prepolymers containing isocyanate groups, which can be prepared by reacting polyols with an excess of polyisocyanates and are preferably of low viscosity. It is also possible to use polyisocyanates  
10 containing biuret, allophanate, iminooxadiazinedione, urethane, urea carbodiimide and/or uretdione groups. Polyisocyanates containing urethane groups, for example, are obtained by reacting some of the isocyanate groups with polyols, such as trimethylol-  
15 propane and glycerol, for example.

Examples of highly suitable monoisocyanates are phenyl isocyanate, cyclohexyl isocyanate or stearyl isocyanate.

20

Examples of suitable polyols are saturated and unsaturated polyols of high and low molecular mass, especially diols and, in minor amounts, triols or higher polyfunctional polyols for the purpose of  
25 introducing branches.

Examples of suitable polyols are saturated or olefinically unsaturated polyesterpolyols which are prepared by reacting

- unsulfonated or sulfonated saturated and/or unsaturated polycarboxylic acids or their esterifiable derivatives, together if desired with monocarboxylic acids, and also

5

- saturated and/or unsaturated polyols, together if desired with monools.

Examples of suitable polycarboxylic acids are aromatic,  
10 aliphatic and cycloaliphatic polycarboxylic acids. Preference is given to using aromatic and/or aliphatic polycarboxylic acids.

Examples of suitable aromatic polycarboxylic acids are  
15 phthalic acid, isophthalic acid, terephthalic acid, phthalic, isophthalic or terephthalic monosulfonate, or halophthalic acids, such as tetrachloro- and/or tetrabromophthalic acid, of which isophthalic acid is advantageous and is therefore used with preference.

20

Examples of suitable acyclic aliphatic or unsaturated polycarboxylic acids are malonic acid, succinic acid, glutaric acid, adipic acid, pimelic acid, suberic acid, azelaic acid, sebacic acid, undecanedicarboxylic acid  
25 or dodecanedicarboxylic acid or maleic acid, fumaric acid or itaconic acid, of which adipic acid, glutaric acid, azelaic acid, sebacic acid, and maleic acid are advantageous and are therefore used with preference.

Examples of suitable cycloaliphatic and cyclic unsaturated polycarboxylic acids are 1,2-cyclobutanedicarboxylic acid, 1,3-cyclobutanedicarboxylic acid, 1,2-cyclopentanedicarboxylic acid, 1,3-cyclopentane-  
5 dicarboxylic acid, hexahydrophthalic acid, 1,3-cyclohexanedicarboxylic acid, 1,4-cyclohexanedicarboxylic acid, 4-methylhexahydrophthalic acid, tricyclodecanedicarboxylic acid, tetrahydrophthalic acid, endomethylenetetrahydrophthalic acid or 4-methyltetra-  
10 hydrophthalic acid. These dicarboxylic acids may be used both in their cis and in their trans form and also as a mixture of both forms.

Further examples of suitable polycarboxylic acids are  
15 polymeric fatty acids, especially those having a dimer content of more than 90% by weight, which are also referred to as dimer fatty acids.

Also suitable are the esterifiable derivatives of the  
20 abovementioned polycarboxylic acids, such as, for example, their monoesters or polyesters with aliphatic alcohols or polyols having from 1 to 4 carbon atoms. Moreover, it is also possible to use the anhydrides of the abovementioned polycarboxylic acids, where they  
25 exist.

If desired it is possible, together with the polycarboxylic acids, to use monocarboxylic acids too, such as benzoic acid, tert-butylbenzoic acid, caproic acid,



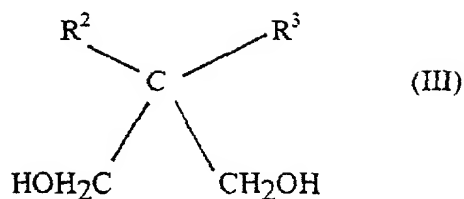
caprylic acid, capric acid, lauric acid, palmitic acid or stearic acid, other fatty acids of naturally occurring oils, acrylic acid, methacrylic acid, ethacrylic acid, crotonic acid or the adduct of  
5 dicyclopentadiene and maleic anhydride in a molar ratio of 1:1.

Examples of suitable polyols are diols, triols, tetrols and sugar alcohols, but especially diols. Normally, the  
10 higher polyfunctional polyols are used alongside the diols in minor amounts in order to introduce branches into the polyols. For the purposes of the present invention, minor amounts are amounts which do not bring about gelling of the polyester- polyols during their  
15 preparation.

Suitable diols are ethylene glycol, 1,2- or 1,3-propanediol, 1,2-, 1,3- or 1,4-butanediol, 1,2-, 1,3-, 1,4- or 1,5-pentanediol, 1,2-, 1,3-, 1,4-, 1,5-  
20 or 1,6-hexanediol, neopentyl hydroxypivalate, neopentyl glycol, diethylene glycol, 1,2-, 1,3- or 1,4-cyclohexanediol, 1,2-, 1,3- or 1,4-cyclohexanedimethanol, trimethylpentanediol, ethylbutylpropanediol, (hydrogenated) bisphenol A, or the positionally isomeric  
25 diethyloctanediols. These diols may also be used per se for the preparation of the polyurethanes (A) for inventive use.

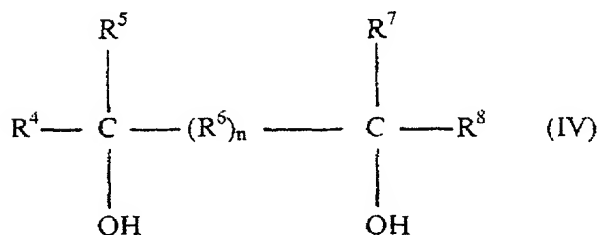
Further examples of suitable diols are diols of the

formula III or IV:



5        in which  $R^2$  and  $R^3$  each represent an identical or different radical and stand for an alkyl radical having from 1 to 18 carbon atoms, an aryl radical or a cycloaliphatic radical, with the proviso that  $R^2$  and/or  $R^3$  may not be methyl;

10



15

in which  $R^4$ ,  $R^5$ ,  $R^7$  and  $R^8$  each represent identical or different radicals and stand for an alkyl radical having from 1 to 6 carbon atoms, a cycloalkyl radical or an aryl radical and  $R^6$  represents an alkanediyl radical having from 1 to 6 carbon atoms, an arylene radical or an unsaturated alkenediyl radical having from 1 to 6 carbon atoms, and  $n$  is either 0 or 1.

20

Suitable diols III of the general formula III are all propanediols of the formula in which either  $R^2$  or  $R^3$  or

$R^2$  and  $R^3$  is not equal to methyl, such as 2-butyl-2-ethylpropane-1,3-diol, 2-butyl-2-methylpropane-1,3-diol, 2-phenyl-2-methylpropane-1,3-diol, 2-propyl-2-ethylpropane-1,3-diol, 2-di-tert-butylpropane-1,3-diol, 2-butyl-2-propylpropane-1,3-diol, 1-dihydroxymethylbicyclo[2.2.1]heptane, 2,2-diethylpropane-1,3-diol, 2,2-dipropylpropane-1,3-diol or 2-cyclohexyl-2-methylpropane-1,3-diol and others, for example.

10 As diols IV of the general formula IV it is possible, for example, to use 2,5-dimethylhexane-2,5-diol, 2,5-diethylhexane-2,5-diol, 2-ethyl-5-methylhexane-2,5-diol, 2,4-dimethylpentane-2,4-diol, 2,3-dimethylbutane-2,3-diol, 1,4-(2'-hydroxypropyl)benzene and 15 1,3-(2'-hydroxypropyl)benzene.

The abovementioned diols may also be used per se for the preparation of the polyurethanes and polyester-polyurethanes.

20

Examples of suitable triols are trimethylolethane, trimethylolpropane or glycerol, especially trimethylolpropane.

25 Examples of suitable tetrols are pentaerythritol or homopentaerythritol.

Examples of suitable higher polyfunctional polyols are sugar alcohols such as threitol, erythritol, arabitol,

adonitol, xylitol, sorbitol, mannitol or dulcitol.

The abovementioned higher polyfunctional polyols may also be used per se for the preparation of the  
5 polyurethanes and polyester-polyurethanes (cf. the patent EP-A-0 339 433).

If desired, minor amounts of monools may be used as well. Examples of suitable monools are alcohols or  
10 phenols such as ethanol, propanol, n-butanol, sec-butanol, tert-butanol, amyl alcohols, hexanols, fatty alcohols, allyl alcohol or phenol.

The polyesterpolyols may be prepared in the presence of  
15 small amounts of an appropriate solvent as entrainer. Entrainers used include, for example, aromatic hydrocarbons, such as particularly xylene and (cyclo)-aliphatic hydrocarbons, e.g., cyclohexane or methylcyclohexane.

20 Further examples of suitable polyols are hydroxyl-containing polybutadienes or polyurethanes.

Further examples of suitable polyols are polyesterdiols  
25 which are obtained by reacting a lactone with a diol. They are notable for the presence of terminal hydroxyl groups and repeating polyester units of the formula  $-(\text{CO}-(\text{CHR}^9)_m-\text{CH}_2-\text{O})-$ . In this formula the index m is preferably from 4 to 6 and the substituent

$R^9$  = hydrogen, an alkyl, cycloalkyl or alkoxy radical. No one substituent contains more than 12 carbon atoms. The total number of carbon atoms in the substituent does not exceed 12 per lactone ring. Examples thereof  
5 are hydroxycaproic acid, hydroxybutyric acid, hydroxy-decanoic acid and/or hydroxystearic acid.

For the preparation of the polyesterdiols preference is given to the unsubstituted ###-caprolactone, in which m  
10 has the value 4 and all  $R^9$  substituents are hydrogen. The reaction with lactone is started by low molecular mass polyols such as ethylene glycol, 1,3-propanediol, 1,4-butanediol or dimethylolcyclohexane. It is, however, also possible to react other reaction components,  
15 such as ethylenediamine, alkyldialkanolamine or else urea, with caprolactone. Further suitable high molecular mass diols include polylactamdiols, prepared by reacting, for example, ###-caprolactam with low molecular mass diols.

20

Examples of highly suitable aliphatic polyesterdiols of the type described above are the polycaprolactoneddiols which are sold under the brand name CAPA® by Solvay Interlox.

25

Further examples of suitable polyols are polyether-polyols, especially those having a number-average molecular weight of from 400 to 5000, in particular from 400 to 3000. Highly suitable polyetherdiols are,

for example, polyetherdiols of the general formula  $H-(O-(CHR^{10})_o)_pOH$ , in which the substituent  $R^{10}$  = hydrogen or is a lower, unsubstituted or substituted alkyl radical, the index  $o$  = 2 to 6, preferably 3 to 4, and the index  $p$  = 2 to 100, preferably 5 to 50. Cited as particularly suitable examples are linear or branched polyetherdiols such as poly(oxyethylene) glycols, poly(oxypropylene) glycols and poly(oxybutylene) glycols.

These polyols as well may be used per se for the preparation of the polyurethanes or polyester-polyurethanes.

The polyurethanes and polyester-polyurethanes may be prepared using polyamines and amino alcohols, which bring about an increase in the molecular weight of the parent structure. In this case it is essential that the polyamines and amino alcohols are employed in an amount such as free isocyanate groups or hydroxyl groups still remain in the molecule.

Examples of suitable polyamines have at least two primary and/or secondary amino groups. Polyamines are essentially alkylenepolyamines having from 1 to 40 carbon atoms, preferably from about 2 to 15 carbon atoms. They may carry substituents which have no hydrogen atoms that are reactive with isocyanate groups. Examples are polyamines having a linear or

branched aliphatic, cycloaliphatic or aromatic structure and containing at least two primary amino groups.

- 5 As diamines, mention may be made of hydrazine, ethylenediamine, propylenediamine, 1,4-butylenediamine, piperazine, 1,4-cyclohexyldimethylamine, 1,6-hexamethylenediamine, trimethylhexamethylenediamine, methanediamine, isophoronediamine, 4,4'-diaminodicyclo-
- 10 hexylmethane and aminoethyleneethanolamine. Preferred diamines are hydrazine, alkyl- or cycloalkyldiamines such as propylenediamine and 1-amino-3-aminomethyl-3,5,5-trimethylcyclohexane.
- 15 It is also possible to use polyamines which contain more than two amino groups in the molecule. In these cases, however, it must be ensured - for example, by using monoamines as well - that crosslinked polyurethane resins are not obtained. Polyamines of this
- 20 kind which can be used are diethylenetriamine, triethylenetetramine, dipropylenediamine and dibutylenetriamine. An example to be mentioned of a monoamine is ethylhexylamine (cf. the patent EP-A-0 089 497).
- 25 Examples of suitable amino alcohols are ethanolamine, diethanolamine or triethanolamine.

The above-described groups (a) for inventive use are introduced using suitable starting products (a) as

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early as during the preparation of the parent structure, or following the preparation of the parent structure, by means of polymer-analogous reactions, thereby giving the solid of the invention.

5

It is essential to the invention that, in the course of the reaction of the starting products (a), the above-described linking urethane groups I and/or II are formed.

10

The selection of the starting products (a) is therefore guided in particular by whether

15

(i) pendant and/or terminal isocyanate groups are present in the parent structure and/or isocyanate groups are present in the other starting products for the parent structure, or

20

(ii) pendant and/or terminal hydroxyl groups are present in the parent structure and/or hydroxyl groups are present in the other starting products for the parent structure.

25

In case (i), the starting products (a) contain a hydroxyl group which reacts with the free isocyanate groups of the parent structure and/or the isocyanate groups of the other starting products to give the linking urethane groups I.



In case (ii), the starting products (a) contain an isocyanate group which reacts with the hydroxyl groups of the parent structure and/or the hydroxyl groups of the other starting products to give the linking urethane groups II.

In a third variant, (iii), the starting products (a) already contain a ready-formed linking urethane group I or II. Furthermore, these starting products (a) contain at least two, in particular two, reactive functional groups which react with suitable reactive functional groups in further starting products to form the divalent functional groups (c). Examples of highly suitable reactive functional groups are the above-described complementary reactive functional groups (e) and (f), of which the hydroxyl groups and isocyanate groups are particularly advantageous and are used with very particular preference in accordance with the invention.

Examples of suitable starting products (a) for variant (i), accordingly, are customary and known monomers which carry at least one hydroxyl group per molecule, such as the above-described monomers (m3). Of these, the acrylates, especially 2-hydroxyethyl, 2-hydroxypropyl, 3-hydroxypropyl, 3-hydroxybutyl, and 4-hydroxybutyl acrylate, particularly 2-hydroxyethyl acrylate, are of particular advantage and are therefore used with very particular preference in accordance with the

invention.

Examples of suitable starting products (a) for variant (ii) are the adducts

5

- of the diisocyanates described below, preferably the linear diisocyanates, with

10

- compounds which contain an isocyanate-reactive group, preferably one of the above-described functional groups (b) or (c), in particular a hydroxyl group, and also at least one of the above-described groups (a), especially acrylate groups;

15

in a molar ratio of from 0.8:1 to 1.2:1, especially 1:1.

20

Examples of especially suitable starting products (a) for variant (ii) are the adducts of hexamethylene diisocyanate or isophorone diisocyanate with 2-hydroxyethyl acrylate in a molar ratio of 1:1.

25

Examples of suitable starting products (a) for variant (iii) are the reaction products of the

- above-described adducts in a molar ratio of 1:1 of diisocyanates and compounds which contain at least one isocyanate-reactive group and at least one

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group (a), with

- trifunctional compounds which contain at least one, in particular three, isocyanate-reactive groups, especially hydroxyl groups,

in a molar ratio of 1:1.

Examples of highly suitable reaction products of this kind are the reaction products of the adducts of hexamethylene diisocyanate or isophorone diisocyanate with 2-hydroxyethyl acrylate in a molar ratio of 1:1 with trimethylolpropane in a molar ratio of 1:1.

Further examples of suitable starting products (a) for variant (iii) are the reaction products of

- triisocyanates, especially isocyanurates of the above-described diisocyanates, with
- compounds which contain an isocyanate-reactive group (b) or (c), in particular a hydroxyl group, and also at least one, especially one, of the above-described groups (a)

in a molar ratio of 1:1.

Examples of highly suitable reaction products of this kind are the reaction products of the isocyanurates of

hexamethylene diisocyanate or isophorone diisocyanate with 2-hydroxyethyl acrylate in a molar ratio of 1:1.

Preference is given to employing variants (i) and (ii) with the corresponding starting products (a), with variant (i) being more preferred. Further advantages arise here if the corresponding starting products (a) are used as early as during the preparation of the parent structure, and so this variant is employed with very particular preference.

Examples of suitable starting products (e) for introducing chemically bonded stabilizers (e) are HALS compounds, preferably 2,2,6,6-tetraalkylpiperidine derivatives, particularly 2,2,6,6-tetramethylpiperidine derivatives, whose nitrogen atom is substituted by an oxygen atom, an alkyl group, an alkylcarbonyl group or an alkyl ether group, and which contain an isocyanate group or an isocyanate-reactive functional group (b) or (c), particularly a hydroxyl group. One example of an especially suitable starting product (e) is the nitroxyl radical 2,2,6,6-tetramethyl-4-hydroxypiperidine N-oxide.

Examples of suitable starting products (f) for introducing the chemically bonded photoinitiators and/or photocoinitiators (f) are the above-described photoinitiators and/or photocoinitiators (f) which contain an isocyanate group or an isocyanate-reactive

functional group (b) or (c), especially a hydroxyl group.

The solid compound (B) may be prepared from the above-  
5 described starting products (a) and the starting  
products for the parent structure and also, where  
appropriate, the starting products (b), (c), (e) and/or  
(f) in a customary and known manner in solution. It is,  
however, a further particular advantage of the  
10 particularly advantageous solid compounds (B) that they  
may be prepared in the melt without problems, thereby  
removing the need to dispose of organic solvents.

The solid compound (B) is amorphous, partly  
15 crystalline, or crystalline. Preferably it is partly  
crystalline or crystalline, since this gives it  
blocking resistance and ready grindability even at low  
molecular weights, particularly in the oligomer range,  
especially from 500 to 5000 daltons, and means that it  
20 produces a low-viscosity melt. It is further of  
advantage if it has a narrow molecular weight  
distribution, since this again has a beneficial effect  
on the blocking resistance and the melt viscosity. The  
degree of crystallinity and the molecular weight  
25 distribution can be adjusted by customary and known  
methods, and so the skilled worker can adjust it simply  
with the assistance, where appropriate, of simple  
preliminary tests.

The solid compound (B) preferably has a melting range or melting point in the temperature range from 40 to 130°C. Its melt viscosity at 130°C is preferably from 50 to 20 000 mPas.

5

The amount of the solid compound (B) in the composition of the invention, based on the composition of the invention, is preferably from 5.0 to 95%, more preferably from 10 to 90%, with particular preference from 15 to 85%, with very particular preference from 20 to 80%, and in particular from 25 to 75% by weight.

The composition of the invention may be used as an adhesive or sealing compound or to produce adhesives and sealing compounds. Said adhesives and sealing compounds of the invention then include effective amounts of at least one additive such as is commonly used in the field of hotmelt adhesives and of sealing compounds.

20

The composition of the invention is advantageously employed as a coating material or for producing coating materials, preferably solid coating materials, and in particular powder coating materials.

25

Accordingly, the composition of the invention is present in the powder coating material of the invention preferably in an amount of from 50 to 100%, more preferably from 50 to 98%, with particular preference

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from 55 to 95%, with very particular preference from 55 to 90% by weight, based in each case on the powder coating material of the invention.

- 5 The powder coating materials of the invention based on at least one composition of the invention are curable thermally and/or with actinic radiation. In the case of the combination of thermal curing and curing with actinic radiation, the term "dual cure" is also used.

10

The composition of the powder coating materials of the invention may be varied very widely, which is a very important advantage. Its composition is guided on the one hand by the curing method or methods that is or are  
15 to be used and on the other hand by the intended use of the powder coating materials (pigment-free clearcoat or pigmented color and/or effect coat).

Examples of suitable further constituents to be used in  
20 the powder coating materials of the invention are oligomers and/or polymers which are curable thermally and/or with actinic radiation and which have a glass transition temperature,  $T_g$ , of above 40°C, such as thermally and/or actinic radiation curable linear  
25 and/or branched and/or block, comb and/or random poly(meth)acrylates or acrylate copolymers, polyesters, alkyds, polyurethanes, acrylated polyurethanes, acrylated polyesters, polylactones, polycarbonates, polyethers, epoxy resin-amine adducts,

(meth)acrylatediol, partially hydrolyzed polyvinyl esters or polyureas, or other, actinic radiation curable (meth)acryloyl-functional (meth)acrylate copolymers, polyether acrylates, polyester acrylates, 5 unsaturated polyesters, epoxy acrylates, urethane acrylates, amino acrylates, melamine acrylates, silicone acrylates, and the corresponding methacrylates, but especially polyesters.

10 Of advantage are solid amorphous, partly crystalline and/or crystalline polyesters which contain terminal groups, formed by the adduct of dicyclopentadiene and maleic anhydride in a molar ratio of 1:1, and/or chain-positioned endomethylenetetrahydrophthalic acid groups.

15 The preparation of these polyesters is customary and known and may be carried out using the starting products described above in connection with the preparation of the polyester-polyurethanes.

20 Furthermore, the powder coating materials of the invention may comprise customary coatings additives. Examples of suitable customary coatings additives for use in the powder coating materials of the invention are

25

- customary and known reactive diluents curable thermally and/or with actinic radiation, such as positionally isomeric diethyloctanediols or hydroxyl-containing hyperbranched compounds or

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dendrimers, (meth)acrylates with a functionality of two or more, such as trimethylolpropane tri(meth)acrylate, or polyisocyanates containing (meth)acrylate groups;

5

- crosslinking agents containing the above-described functional groups (b) and/or (c), such as amino resins, compounds or resins containing anhydride groups, compounds or resins containing epoxide groups, tris(alkoxycarbonylamino)triazines, compounds or resins containing carbonate groups, blocked and/or unblocked polyisocyanates, beta-hydroxyalkylamides, and compounds containing on average at least two transesterifiable groups, examples being reaction products of malonic diesters and polyisocyanates or of esters and partial esters of polyhydric alcohols of malonic acid with monoisocyanates, as are described in the European patent EP-A-0 596 460;

10

15

20

- UV absorbers;
- light stabilizers such as HALS compounds, benzotriazoles or oxalanilides;

25

- free-radical scavengers;
- thermolabile free-radical initiators such as organic peroxides, organic azo compounds or C-C-

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5

- 10

- 15

- 20

- 25

- polymerization inhibitors;

- adhesion promoters such as tricyclodecane-dimethanol;
- leveling agents;
- 5 - transparent fillers based on silica, alumina, titanium dioxide or zirconium oxide; for further details, refer to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag, Stuttgart, 1998, pages 250 to 252;
- 10 - flame retardants;
- flatting agents such as magnesium stearate;
- 15 - electrically conductive pigments, such as metal pigments, conductivity blacks, doped pearlescent pigments or conductive barium sulfate. Especially suitable electrically conductive pigments are the conductivity blacks; for further details, refer to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag, Stuttgart, New York, 1998, "metal pigments", p. 381, and "conductive pigments", p. 354;
- 20 - effect pigments, such as metal flake pigments such as commercially customary aluminum bronzes, aluminum bronzes chromated in accordance with DE-A-36 36 183, and commercial stainless steel
- 25

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bronzes, and also nonmetallic effect pigments such as, for example, pearlescent and interference pigments; for further details, refer to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag, 1998, page 176, "effect pigments" and pages 380 and 381, "metal oxide-mica pigments" to "metal pigments";

- inorganic color pigments such as titanium dioxide, iron oxides, Sicotrans yellow and carbon black or organic color pigments such as thioindigo pigments indanthrene blue, Cromophthal red, Irgazine orange, and Heliogen green; for further details, refer to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag, 1998, pages 180 and 181, "iron blue pigments" to "black iron oxide", pages 451 to 453 "pigments" to "pigment volume concentration", page 563 "thioindigo pigments", and page 567 "titanium dioxide pigments", or

20

- organic and inorganic fillers such as chalk, calcium sulfates, barium sulfate, silicates such as talc or kaolin, silicas, oxides such as aluminum hydroxide or magnesium hydroxide, or organic fillers such as textile fibers, cellulose fibers, polyethylene fibers or wood flour; for further details, refer to Römpp Lexikon Lacke und Druckfarben, Georg Thieme Verlag, 1998, pages 250 ff., "fillers".

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Further examples of suitable coatings additives are described in the textbook "Lackadditive" [Additives for coatings] by Johan Bieleman, Wiley-VCH, Weinheim, New York, 1998.

5

These additives are added to the powder coating materials of the invention in customary and known, effective amounts, which depending on additive may be from 0.001 to 500 parts by weight per 100 parts by weight of solid of the invention.

The preparation of the powder coating materials of the invention has no special features in terms of its method but instead takes place in a customary and known manner, preferably by mixing the constituents (A) and (B) and also, where appropriate, at least one of the other above-described constituents in the melt, by extrusion or kneading, discharging the melt from the mixer, solidifying the resulting homogenized mass, comminuting the mass until the desired particle size is obtained, and, where appropriate, classifying the resulting powder coating material of the invention under conditions which do not entail any premature thermal crosslinking and/or crosslinking with actinic radiation and/or other damage to individual constituents of the powder coating material of the invention as a result, for example, of thermal degradation.

In this context it is found to be a further particular advantage of the powder coating material of the invention that it can be dispersed in water to give a powder slurry coating material of the invention.

5

Nor does the application of the powder coating material of the invention have any special features in terms of its method; instead, it takes place by means of customary and known methods and apparatus, by electrostatic spraying, for example, again using conditions which do not entail any premature thermal crosslinking and/or crosslinking with actinic radiation and/or other damage to individual constituents of the powder coating material of the invention as a result, for example, of thermal degradation.

Instead, the powder slurry coating material of the invention may be applied using methods and apparatus such as are commonly employed for the application of spray coating materials.

The powder coating material of the invention and the powder slurry coating material of the invention may be applied in a wide variety of coat thicknesses, to give coatings of a wide variety of thicknesses, in particular from 10 to 250  $\mu\text{m}$ . The thickness of the coatings is guided by the intended use thereof and may therefore be adjusted readily by the skilled worker.

The curing of the applied powder coating films also has no special features in terms of its method but is instead carried out using the customary and known methods and apparatus.

5

Accordingly, curing with actinic radiation may be carried out using electromagnetic radiation such as X-rays, UV radiation, visible light or near IR (NIR) light or using corpuscular radiation such as electron beams. Methods and apparatus for curing with actinic radiation are customary and known and are described, for example, in R. Holmes, "U.V. and E.B. Curing Formulations for Printing Inks", Coatings and Paints, SITA Technology, Academic Press, London, United Kingdom  
10 1984.  
15

Thermal curing likewise has no special features in terms of its method but instead takes place in accordance with the customary and known methods such as  
20 heating in a forced air oven or irradiation using IR lamps.

Suitable coating substrates include all surfaces of articles which are amenable to curing of the coating  
25 films present thereon using heat and/or actinic radiation; examples include articles made of metals, plastics, wood, ceramic, stone, textile, fiber composites, leather, glass, glass fibers, glass wool and rock wool or mineral-bound and resin-bound building

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materials, such as plasterboard, cement slabs or roof shingles. Accordingly, the powder coating material or powder slurry coating material of the invention, particularly as a clearcoat, is highly suitable for applications in automotive finishing, the coating of furniture and industrial coating, including coil coating, container coating, and the coating of electrical components. In the context of industrial coatings, it is suitable for coating virtually all parts for private or industrial use such as radiators, domestic appliances, small metal parts, hubcaps, wheel rims or windings of electric motors.

In particular, the clearcoat of the invention is suitable as an overcoat for basecoats, preferably in the automobile industry. It is especially suitable as a clearcoat over aqueous basecoats based on polyesters, polyurethane resins, and amino resins.

The metallic substrates employed in this context may have a primer system, in particular a cathodically or anodically deposited and thermally cured electrocoat. Where appropriate, the electrocoat may also have been coated with an antistonechip primer or with a surfacer.

The powder coating material and powder slurry coating material of the invention may also be used in particular to coat primed or unprimed plastics such as, for example, ABS, AMMA, ASA, CA, CAB, EP, UF, CF, MF,

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MPF, PF, PAN, PA, PE, HDPE, LDPE, LLDPE, UHMWPE, PET, PMMA, PP, PS, SB, PUR, PVC, RF, SAN, PBT, PPE, POM, PUR-RIM, SMC, BMC, PP-EPDM and UP (abbreviations to DIN 7728T1). The plastics to be coated may of course also  
5 be polymer blends, modified plastics or fiber-reinforced plastics. It is also possible to employ the plastics commonly used in vehicle construction, especially motor vehicle construction.

10 Nonfunctionalized and/or apolar substrate surfaces may be subjected prior to coating in a known manner to a pretreatment with a plasma or by flaming and/or may be coated with a water-based primer system made from a hydroprimer.

15

Particular advantages result if the adhesive films, seals, and coatings of the invention are produced by means of the process of the invention.

20 For this purpose,

(1) at least one coating material and/or adhesive and/or sealing compound of the invention in the form of

25

- (1.1) a melt,
- (1.2) a powder,
- (1.3) a powder slurry or
- (1.4) a dispersion or a solution in at least

one organic solvent

is applied to the above-described primed or  
unprimed substrate,

5

(2) the resulting powder slurry film (1.3) or the  
resulting film of a dispersion or a solution (1.4)  
is dried or the resulting film of the melt (1.1)  
is caused to solidify or is maintained in the  
10 melted state by heating,

(3) the resulting solid film (1.2), (1.3) or (1.4) is  
melted by heating, and

15 (4) the melted film which results in process step (2)  
or (3),

(4.1) in the melted state,

(4.2) on solidification and/or

20 (4.3) after solidification,

is cured with actinic radiation.

Where the coating materials, sealing compounds, and  
25 adhesives have an appropriate composition, curing with  
actinic radiation may be supplemented by thermal curing  
carried out before, during or after process step (4).

The adhesive films and seals produced from the

adhesives and sealing compounds of the invention have outstanding bond strength and sealing capacity even under extreme climatic conditions and even over long periods of time.

5

The coatings of the invention produced from the powder coating materials and powder slurry coating materials of the invention exhibit outstanding leveling and have an outstanding overall appearance. They are stable to weathering and do not yellow even under tropical conditions. Accordingly, they can be used for numerous applications both inside and out. Primed and unprimed substrates, especially bodies of automobiles and commercial vehicles, industrial components, including plastics parts, packaging, coils and electrical components, or furniture coated with at least one coating of the invention therefore also have particular technical and economic advantages, especially a long service life, which makes them particularly attractive to users.

### **Examples**

#### **Preparation example 1**

25

**The preparation of a (meth)acrylate copolymer by high-temperature polymerization**

A (meth)acrylate copolymer was prepared by high-

temperature polymerization in accordance with the process described in European patent EP-A-0 650 979. To do this, a mixture of 400 parts by weight of glycidyl methacrylate, 1100 parts by weight of methyl methacrylate, 400 parts by weight of styrene, 100 parts by weight of n-butyl acrylate and 60 parts by weight of di-tert-butyl peroxide in 150 parts by weight of isopropanol was added continuously over the course of one hour at 170°C to an initial charge of 600 parts by weight of isopropanol in an appropriate reactor. Polymerization was continued for 15 minutes and then ended by cooling to room temperature. The polymer solution was diluted with xylene to one and a half times the amount, filtered and freed from volatile constituents in a thin-film evaporator at 210°C under a pressure of 1 mbar.

#### **Preparation example 2**

**The preparation of a (meth)acrylate copolymer (A) for use in the inventive powder coating materials**

2000 a mixture consisting of 96.8% by weight of the above-described ground copolymer, 1.1 parts by weight of 2,4,6-trimethylbenzoyldiphenylphosphine and 2.1 parts by weight of triphenylphosphine was reacted hourly with 180 parts by weight of acrylic acid stabilized with 100 ppm of phenothiazine at 130°C and an average residence time of 7 minutes in a twin-screw

extruder (type ZSK from Werner und Pfleiderer) having colocating screw shafts, a nominal diameter of 30 mm and a length/diameter ratio of 33:1.

5 **Preparation example 3**

**The preparation of a solid compound (B) for use in the inventive powder coating materials**

- 10 An appropriate reaction vessel, equipped with stirrer, reflux condenser, heating and inert gas supply, was charged with
- 14.76 g of trimethylolpropane,
- 15 236.36 g of hexane-1,6-diol,
- 197.2 g of hydroxyethyl acrylate, and
- 0.56 g of 2,2,6,6-tetramethyl-4-hydroxypiperidine N-oxide
- 20 and this initial charge was heated to 60°C. 666 g of isophorone diisocyanate (IPDI) and 1.1 g of dibutyltin dilaurate were metered into the initial charge over the course of one hour. As a result of the exothermic reaction, the temperature rose slowly to 100°C. The
- 25 resulting reaction mixture was left to react at 100°C for 30 minutes more, so that free isocyanate groups were no longer detectable. The melt was poured out onto aluminum foil and left to cool. This gave a hard, readily grindable resin. Data on the melt viscosity can

be found in Table 1.

### Preparation of example 4

- 5 The preparation of a solid compound (B) for use in the  
inventive powder coating materials

Inventive preparation example 3 was repeated but without the use of a chemically bonded HALS compound.

- 10 Data on the melt viscosity can be found in Table 1.

### Preparation example 5

- The preparation of a solid compound (B) for use in the  
15 inventive powder coating materials

Inventive preparation example 3 was repeated except that, instead of the starting products used therein, the following starting products were used:

- 20

Initial charge: 62 g of ethylene glycol  
(1 mol),  
45 g of butane-1,4-diol  
(0.5 mol),  
232 g of hydroxyethyl acrylate  
(2 mol), and  
0.4 g of 2,2,6,6-tetramethyl-  
4-hydroxypiperidine N-oxide.

Feed: 420.5 g of hexamethylene  
diisocyanate (2.5 mol) and  
1 g of dibutyltin dilaurate.

5 Data on the melt viscosity can be found in Table 1.

#### Preparation example 6

10 The preparation of a solid compound (B) for use in the  
inventive powder coating materials

Inventive preparation example 3 was repeated except  
that, instead of the starting products used therein,  
the following starting products were used:

15 Initial charge: 66.2 g of ethylene glycol,  
146.6 g of polycaprolactone  
(Capa® 200 from Solvay  
Interox),  
20 154.66 g of hydroxyethyl  
acrylate, and  
0.4 g of 2,2,6,6-tetramethyl-  
4-hydroxypiperidine N-oxide.

25 Feed: 444 g of isophorone  
diisocyanate and  
0.8 g of dibutyltin dilaurate.

Data on the melt viscosity can be found in Table 1.

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**Preparation example 7**

**The preparation of a solid compound (B) for use in the inventive powder coating materials**

5

Inventive preparation example 6 was repeated but without the use of a chemically bonded HALS compound. Data on the melt viscosity can be found in Table 1.

10 **Table 1: The melt viscosity of the solid compounds (B)**

<b>Preparation example</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>
<b>Melt viscosity:</b>					
[mPas/120°C]	11200	12300	750	3210	3400
[mPas/130°C]	7050	8200	410	3120	2200

The solid compounds (B) were characterized with a Physica Rheometer using a plate/plate system.

15

**Examples 1 to 11**

**The preparation and testing of the inventive powder coatings**

20

For examples 1 to 3, the solid compound (B) from preparation example 3 was used.

For example 4, the solid compound (B) from preparation example 4 was used.

25



For examples 6 to 7, the solid compound (B) from preparation example 5 was used.

For examples 8 to 10, the solid compound (B) from preparation example 6 was used.

For example 11, the solid compound (B) from preparation example 7 was used.

10 Based on the respective powder coating material, 3% by weight of Darocure® 2959 (photoinitiator), 0.5% by weight of Modaflow® (leveling assistant), 1% by weight of benzoin (devolatilizing aid) were added to all of the inventive powder coating materials. In all powder  
15 coating materials, moreover, the (meth)acrylate copolymer (A) from preparation example 2 was used in the proportions specified in Table 3.

The powder coatings of the invention were produced by  
20 the following general procedure:

The respective powder coating composition was melted in an oil bath at 140°C, poured onto an aluminum panel, ground after solidification, and scattered through a  
25 sieve onto a degreased steel panel to give a coating layer approximately 70 µm thick. The scattered powder coating layer was melted on a regulated hotplate at 140°C for 5 minutes. Mounted at a distance of 30 cm above the hotplate was a mercury vapor lamp having an

emission maximum at about 360 nm (Hönle LTV 400), which  
was closed off with a slide plate. After the powder  
coating layer had melted, the slide plate was opened  
and the melt was exposed for 30 seconds. The plate was  
5 then closed again and the panel was removed from the  
hotplate. The powder coating was tested after storage  
at room temperature for 24 hours.

Table 3 gives an overview of the proportions of the  
10 constituents (A) and (B), the tests conducted, and the  
results obtained.

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**Table 3: Performance properties of the inventive powder coatings**

Example/ comparative experiment no.	Ratio (A)/(B) (parts by weight)	Leveling visual (rating <sup>a)</sup> )	Erichsen value <sup>b)</sup>	Cross-cut (rating <sup>c)</sup> )	Pendulum hardness d) (s)	Pencil hard- ness	MEK <sup>e)</sup>
1	80/20	1	2.1	GT4	192	5H	0
2	50/50	1	3.6	GT3	188	4H	0
3	30/70	1	4.2	GT1	184	4H	0
4	80/20	3	2.6	GT4	186	5H	0
5	80/20	1	1.2	GT4	189	5H	0
6	50/50	1	2.3	GT3	183	4H	0
7	30/70	1	3.8	GT1	179	4H	0
8	80/20	1	3.4	GT3	194	5H	0
9	50/50	1	4.9	GT1	189	4H	0
10	30/70	1	7.2	GT1	178	4H	0
11	80/20	2	3.6	GT3	196	5H	0

- a) Rating: 1 = very good; 2 = good; 3 = still just usable; 4 = no longer sufficient for high quality requirements;
- 5 b) Erichsen cupping in accordance with DIN EN ISO 1520: 1995-04;
- c) Cross-cut testing in accordance with DIN ISO 2409: 1994-10;
- 10 d) Pendulum attenuation testing in accordance with DIN 53157: 1987-01;
- e) 60 double strokes with a cotton pad soaked with methyl ethyl ketone
- 15

Comparison of the results in Table 3 shows that the inventive powder coatings exhibit excellent leveling and outstanding chemical resistance and hardness. It is

20 notable that the hardness, adhesion, and deformability of the inventive coatings was adjustable by way of the ratio (A)/(B), which is a further advantage of the inventive powder coating materials.

Solid composition containing bonds which can be activated with actinic radiation, and its use

Claims

5

1. A solid composition comprising

10

(A) at least one solid (meth)acrylate copolymer with a number-average molecular weight of from 850 to 10 000 and a molecular weight polydispersity Mw/Mn of from 1.0 to 5.0, containing at least one group (a) having at least one bond which can be activated with actinic radiation;

15

and

20

(B) at least one solid compound comprising a parent structure and, attached thereto, on average per molecule more than one group (a) having at least one bond which can be activated with actinic radiation.

25

2. The solid composition as claimed in claim 1, characterized in that the (meth)acrylate copolymer (A) is preparable by

(1) radical high-temperature polymerization of

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(m1) at least one methacrylate and

(m2) at least one monomer copolymerizable  
therewith, with

5

(m3) from 5.0 to 50 mol% of the total amount  
of monomers (m1) and (m2) being monomers  
which carry nonpolymerizable reactive  
functional groups (b); and

10

(2) polymer-analogous reaction of the resulting  
methacrylate copolymer, which carries at  
least one reactive functional group (b), with

15

(m4) at least one compound containing at  
least one bond which can be activated  
with actinic radiation and at least one  
reactive functional group (c) which is  
complementary to the group (b),

20

to give the group (a).

3. The solid composition as claimed in claim 1 or 2,  
characterized in that the bonds which can be  
25 activated with actinic radiation comprise carbon-  
hydrogen single bonds or carbon-carbon, carbon-  
oxygen, carbon-nitrogen, carbon-phosphorus or  
carbon-silicon single bonds or double bonds,  
especially carbon-carbon double bonds.

4. The solid composition as claimed in any of claims 1 to 3, characterized in that the groups (a) are selected from the group containing (meth)acrylate, ethacrylate, crotonate, cinnamate, vinyl ether, vinyl ester, dicyclopentadienyl, norbornenyl, isoprenyl, isopropenyl, allyl and butenyl groups; dicyclopentadienyl ether, norbornenyl ether, isoprenyl ether, isopropenyl ether, allyl ether or butenyl ether groups, and dicyclopentadienyl ester, norbornenyl ester, isoprenyl ester, isopropenyl ester, allyl ester and butenyl ester groups.
5. The solid composition as claimed in claim 4, characterized in that the groups (a) are (meth)acrylate groups, especially acrylate groups.
6. The solid composition as claimed in any of claims 1 to 5, characterized in that, based on its overall weight, it contains from 5.0 to 95% by weight of the (meth)acrylate copolymer (A) and from 95 to 5.0% by weight of the compound (B).
7. The solid composition as claimed in any of claims 1 to 6, characterized in that the groups (a) in the compound (B) are attached to the parent structure by way of urethane, ester, ether and/or amide groups.

8. The solid composition as claimed in claim 7, characterized in that the groups (a) in the compound (B) are attached to the parent structure by way of urethane groups.

5

9. The solid composition as claimed in any of claims 1 to 8, characterized in that the compound (B) is amorphous, partly crystalline, or crystalline.

10 10. The solid composition as claimed in any of claims 1 to 9, characterized in that the compound (B) has a melting range or melting point in the temperature range from 40 to 130°C.

15 11. The solid composition as claimed in any of claims 1 to 10, characterized in that the compound (B) has a melt viscosity at 130°C of from 50 to 20 000 mPas.

20 12. The solid composition as claimed in any of claims 1 to 11, characterized in that the parent structure of the compound (B) is of low molecular mass, oligomeric and/or polymeric.

25 13. The solid composition as claimed in claim 12, characterized in that the oligomeric and/or polymeric parent structure of the compound (B) contains olefinically unsaturated double bonds.

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14. The solid composition as claimed in claim 12 or 13, characterized in that the oligomeric and/or polymeric parent structure is derived from random, alternating and/or block, linear, branched, hyperbranched, dendrimeric and/or comb polyaddition resins, polycondensation resins and/or addition (co)polymers of ethylenically unsaturated monomers.
15. The solid composition as claimed in claim 14, characterized in that the addition (co)polymers are poly(meth)acrylates and/or partially hydrolyzed polyvinyl esters and the polyaddition resins and/or polycondensation resins are polyesters, alkyds, polyurethanes, polyester-polyurethanes, polylactones, polycarbonates, polyethers, polyether-polyesters, epoxy resin-amine adducts, polyureas, polyamides or polyimides, especially polyesters, polyester-polyethers, polyurethanes, and polyester-polyurethanes.
16. The solid composition as claimed in any of claims 1 to 15, characterized in that the methacrylate copolymer (A) and/or the compound (B) comprise at least one chemically bonded stabilizer (e).
17. The solid composition as claimed in claim 16, characterized in that a HALS compound is used as

chemically bonded stabilizer (e).

18. The solid composition as claimed in claim 17,  
characterized in that the 2,2,6,6-tetramethyl-  
5 piperidine-N-oxide-4-oxy group is used as  
chemically bonded HALS compound (e).
19. The solid composition as claimed in any of claims  
16 to 18, characterized in that the chemically  
10 bonded stabilizer (e) is present in the solid  
compound (B).
20. The solid composition as claimed in any of claims  
1 to 19, characterized in that the compound (B)  
15 contains reactive functional groups (c) which are  
able to undergo thermal crosslinking reactions  
with groups (c) of its own kind and/or with  
complementary functional groups (b) which are also  
present in the methacrylate copolymer (A) and/or  
20 in at least one crosslinking agent.
21. The solid composition as claimed in any of claims  
1 to 20, characterized in that the methacrylate  
copolymer (A) and/or the compound (B) comprises  
25 chemically bonded photoinitiators and/or  
photocoinitiators.
22. The use of the solid composition as claimed in any  
of claims 1 to 21 as coating materials, adhesives

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or sealing compounds which can be crosslinked thermally and/or with actinic radiation, or to produce coating materials, adhesives or sealing compounds which can be crosslinked thermally and/or with actinic radiation.

23. Coating materials, adhesives or sealing compounds comprising at least solid composition as claimed in any of claims 1 to 21.

24. The coating materials, adhesives or sealing compounds as claimed in claim 23, characterized in that at least one further constituent curable with actinic radiation is present therein.

25. The coating materials, adhesives or sealing compounds as claimed in claim 24, characterized in that the further constituent is selected from the group containing other (meth)acrylate-functional (meth)acrylic copolymers, polyether acrylates, polyester acrylates, unsaturated polyesters, epoxy acrylates, amino acrylates, melamine acrylates, silicone acrylates, and the corresponding methacrylates.

26. The coating materials, adhesives or sealing compounds as claimed in claim 25, characterized in that the unsaturated polyester is selected from the group containing amorphous, partly crystalline

and crystalline solid polyesters containing at least one terminal group which derives from the adduct of dicyclopentadiene and maleic anhydride in a molar ratio of 1:1, and/or at least one endomethylenetetrahydrophthalic acid group.

27. The coating materials, adhesives or sealing compounds as claimed in any of claims 23 to 26, characterized in that at least one further additive is present therein.

28. The coating materials, adhesives or sealing compounds as claimed in any of claims 23 to 27, characterized in that they are present as powders, powder slurries, or a solution or dispersion in organic solvents.

29. The use of the coating materials, adhesives or sealing compounds as claimed in any of claims 23 to 28 to produce coatings, adhesive films or seals for primed or unprimed substrates.

30. A process for producing coatings, adhesive films or seals for primed or unprimed substrates, wherein

(1) at least one coating material and/or adhesive and/or at least one sealing compound as claimed in any of claims 23 to 28 in the form

of

- (1.1) a melt,
- (1.2) a powder,
- 5 (1.3) a powder slurry or
- (1.4) a dispersion or a solution in at least  
one organic solvent

is applied to the primed or unprimed  
10 substrate,

- (2) the resulting powder slurry film (1.3) or the  
resulting film of a dispersion or a solution  
15 (1.4) is dried or the resulting film of the  
melt (1.1) is caused to solidify or is  
maintained in the melted state by heating,

- (3) the resulting solid film (1.2), (1.3) or  
20 (1.4) is melted by heating, and

- (4) the melted film which results in process step  
(2) or (3),

- (4.1) in the melted state,
- 25 (4.2) on solidification and/or
- (4.3) after solidification,

is cured with actinic radiation.

31. The process as claimed in claim 30, characterized in that the film is thermally cured by heating before, during or after process step (4).

5 32. The process as claimed in claim 30 or 31, characterized in that heating is carried out with near infrared (NIR) light.

10 33. Coatings, adhesive films or seals on primed or unprimed substrates, produceable from coating materials, adhesives or sealing compounds as claimed in any of claims 23 to 28 and/or produceable by means of the process as claimed in any of claims 30 to 32.

15 34. Primed and unprimed substrates, especially bodies of automobiles and commercial vehicles, industrial components, including plastics parts, packaging, coils and electrical components, or furniture,  
20 comprising at least one coating, at least one adhesive film and/or at least one seal as claimed in claim 33.

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**Solid composition containing bonds which can be activated with actinic radiation, and its use**

**Abstract**

5

Solid compositions comprising

10 (A) at least one solid (meth)acrylate copolymer with a number-average molecular weight of from 850 to 10 000 and a molecular weight polydispersity Mw/Mn of from 1.0 to 5.0, containing at least one group (a) having at least one bond which can be activated with actinic radiation; and

15 (B) at least one solid compound comprising a parent structure and, attached thereto, on average per molecule more than one group (a) having at least one bond which can be activated with actinic radiation;

20

and their use as coating materials, adhesives or sealing compounds which can be crosslinked thermally and/or with actinic radiation, or for preparing coating materials, adhesives or sealing compounds which can be  
25 crosslinked thermally and/or with actinic radiation.

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# Declaration, Power of Attorney



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PATENT & TRADEMARK OFFICE

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We (I), the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Solid Composition Containing Bonds Which Can Be Activated With Actinic Radiation, and Its Use

the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and amended on \_\_\_\_\_.

☒ was filed as PCT international application

Number PCT/EP00/09626

on February 10, 2000

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed
19947521.0	Germany	02 October 1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No



We (I) hereby claim the benefit under Title 35, United States Codes, § 119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.

Filing Date

Status (pending, patented,  
abandoned)

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

And we (I) hereby appoint Messrs. **HERBERT. B. KEIL**, Registration Number 18,967; and **RUSSEL E. WEINKAUF**, Registration Number 18,495; the address of both being Messrs. Keil & Weinkauf, 1101 Connecticut Ave., N.W., Washington, D.C. 20036 (telephone 202-659-0100), our attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to sign the drawings, to receive the patent, and to transact all business in the Patent Office connected therewith.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


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**Declaration**

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